	Case 4:19-cv-10520-TSH	
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1	UNITED STATES DISTRICT COURT DISTRICT OF MASSACHUSETTS	
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	Charry Dagai	
4	Charu Desai, ) Plaintiff, )	
5	)	
6	vs. ) Case No. 19-cv-10520-TSH )	
7	) UMass Memorial Medical Group )	
8	and Max Rosen, ) Defendants. )	
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10	BEFORE: The Honorable Timothy S. Hillman	
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12	Jury Trial Day 2	
13	Excerpt of the Opening Statements Excerpt of the Testimony of Max Rosen	
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16	United States District Court	
17	Courtroom No. 2 595 Main Street	
18	Worcester, Massachusetts December 5, 2022	
19	December 3, 2022	
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23	Marianne Kusa-Ryll, RDR, CRR Official Court Reporter	
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## PROCEEDINGS

(Excerpt as follows:)

MR. KOLMAN: Good morning again, ladies and gentlemen.

My name is Tim Kolman. Let me introduce you just very briefly. Joe Comenzo is my colleague. He'll be trying this case with me.

That's Tim Rodden. He also representing the plaintiff.

And this, of course, is my client Dr. Charu Desai, a thoracic radiologist who worked for the defendant for 26 years, exquisite at her job, exquisite at being able to find disease, illness, possible cancer where some radiologists simply could not find it.

This, as you've heard, is an age discrimination case in essence. There are a couple of other courses of action which I'll talk about briefly, but this is what we expect the evidence to show.

Number one, we expect to show that Charu Desai was and is an excellent thoracic radiologist with an excellent record. She got excellent reviews for some 26 years until Dr. Max Rosen, sitting behind me, decided in 2017 that he was going to get rid of her by hook or by crook.

Now, that raises a lot of questions, which we hope to answer, and you might ask, well, why on earth would UMass

Medical get rid of such an excellent employee. And the answer,

as far as we're concerned, and what we wish to prove and what we believe we will prove, is that it was because of age. We can show that the reason why she was terminated is bogus, that it doesn't have any scientific merit, that the so-called independent review that the defendant used is not an independent review at all, but a biased nonindependent -- not even a review that was used to show that Dr. Desai is incompetent, that she actually cannot read CT scans, that her level of incompetency is such that she had to be terminated.

Now, to show that it is bogus, we will be hearing from an expert, Dr. Gruden, who will testify that the reads that were used to terminate her, in other words, the ones that were allegedly defective or incompetent, were not incompetent at all, that, in fact, they were just fine.

Further, we will show that the sampling used was front loaded so she couldn't possibly win. You might ask, well, how is that done? And we'll get into more detail at the trial, but very briefly they took 25 of Dr. Desai's reads and they compared it to 25 of a variety of other -- other radiologists, and as -- we believe we can show that is statistically unfair from the start.

And you may ask, well, why did they go to all this trouble, and we believe the evidence will show it's because she is so good, because they couldn't catch her out on true incompetence, so it had to be created.

And then you may ask well, why -- why would they do such a thing? And the evidence will show that they did it because they wanted to get rid of her and replace her with someone younger, and we believe that the evidence will show just that. And we'll also show that the defendants are fairly open about that, but that is exactly what they wanted to do, and there's evidence to that effect also.

Now, you will hear from, obviously, my client, and you'll hear from Dr. Rosen, and you'll hear from a foreign psychiatrist, Dr. Vaskanian. We're talking about that the damages that Dr. Desai has suffered as a result of losing her life's work and her vocation. And you'll hear from the economist as well about economic loss.

But you'll also hear about the other two courses of action in this case, which I just alluded to, and that is interference with advantageous relations and aiding and abetting, and the judge will instruct you on those.

But very briefly, as far as I understand it at least, the aiding and abetting is, of course, against Dr. Rosen in aiding and abetting in her termination and discrimination, and the interference with advantageous relations are effectively employment relations that she had with UMass Medical.

Now, she also had two other employment relationships. One is with Marlborough Hospital, and the other is with the medical school.

1 And so before I forget, I want to thank you so very, very much for your service. This is not taken for granted at 2 It's a very, very important case to my client. Thank you 3 so much for your close attention to the case. 4 5 I or Joe will be back at the end of this case to wind 6 it up, as it were, to talk about the evidence and to talk about what we believe we've proved and obviously to ask you for a 7 verdict in favor of Dr. Desai. 8 9 Thank you so much for your kind attention. 10 THE COURT: Thank you, Mr. Kolman. 11 MR. KILROY: May it please the Court. 12 THE COURT: You may. 1.3 MR. KILROY: Thank you, your Honor. 14 Tim. 15 (Notepad handed to Mr. Kolman.) 16 MR. KOLMAN: Thanks. 17 MR. KILROY: You bet. 18 Good morning, ladies and gentlemen. 19 JURORS: Good morning. 20 MR. KILROY: As I mentioned on Friday morning, my name 21 is Bob Kilroy. I am a partner at the law firm of Mirick 22 O'Connell headquartered here in Worcester. 23 With me is my colleague, Reid Wakefield, and it's my 24 privilege to represent UMass Memorial Medical Group in response 25 to Dr. Desai's allegations of age discrimination.

I alluded on Friday morning to UMass Memorial Medical Group is what's called the physician arm of the entire UMass Memorial Health Care system. So any employed physician within the university campus, up on Lakeside Ave., Memorial campus, Marlborough Hospital, Clinton Health Alliance, Leominster, Fitchburg, or the Harrington hospitals or Marlborough Hospital for that matter, any employed physician falls under the umbrella of UMass Memorial Medical Group as the employer.

I also have the very distinct privilege to represent Dr. Max Rosen; and as you heard on Friday, Dr. Rosen is the chair or head of the Department of Radiology for the entire UMass Memorial system. So any hospital within UMass that has radiology services, he is responsible for those radiologists. He is responsible for their quality. He's responsible for patient safety for those.

Dr. Rosen himself is 63 years old, and the evidence that you'll hear during the trial is that Dr. Desai was 67 when she was provided notice of her termination.

Dr. Rosen grew up nearby in Pawtucket, Rhode Island.

Now, before I forecast what the evidence will show, let me join my brother counsel in thanking you for your service. UMass Memorial Medical Group is very grateful that you're here to hear the case, particularly during the holidays. You know, it's a two-week commitment. Granted, we only go to 1:00 in the afternoon, but that's a huge commitment, and the

Medical Group is very appreciative.

More specifically, however, Dr. Rosen wants to thank you for your service, because Dr. Rosen has been waiting a long time during litigation to finally publicly address allegations of age discrimination. It has been a long haul for Dr. Rosen to wait to address a former colleague calling him an age discriminator.

Now, I will invite your attention now and throughout the trial to the actual evidence, the facts that will come in, not a story that I'll tell, not a story that my brother counsel will tell, but the actual evidence, and I'm going to preview that evidence now, and I'll invite you to test me. What I say, test and see whether or not it's presented during the trial.

Although this case is about age; ultimately, this case, as you will see through all the evidence, is about patient safety. Now, I'll say that again. This case ultimately is about patient safety. And the mantle of responsibility that Dr. Rosen shoulders for patient safety, I mentioned to you he has over 80 radiologists that he has to supervise.

So each day he has to be concerned about the quality of the reads of his radiologists and concerned about our patients being cared for appropriately. Throughout this region, referring physicians send to UMass Memorial their patients, particularly for complex, advanced reads within the

field of chest radiology, which is Dr. Desai's specialty.

By the end of the trial, you'll realize that the case boils down to a single question, just one question, and that's: Did Dr. Rosen, the chair of the Radiology Group, decide to terminate the employment of Dr. Desai because she was 67 years old? Did he determine that he had to terminate her because she was 67, or will the evidence establish that she was terminated because he -- he had concerns about her quality as a chest radiologist and had to protect patients?

What the evidence will show is that Dr. Desai has failed to keep pace with technology. She has failed to keep pace with quality within the field of radiology. You're going to see Dr. Desai's résumé or CV, as it's called within the physician community. I'll invite you to compare her CV to the other radiologists who are going to testify. You will find there is no comparison. You're going to see CVs of other radiologists that show dozens and dozens of research and writing, publications in national medical journals about technology, about advances in radiology, about quality, about patient safety. You will not find that with Dr. Desai.

You're going to see tons of evidence in the other CVs of radiologists, chest radiologists, who spend their time going to national conferences, learning from their peers, undergoing additional training, making sure they're at the cutting edge of quality and patient safety. Compare that to Dr. Desai,

because you're not going to find it there.

You will hear testimony from a technology standpoint that Dr. Desai has difficulty managing emails, that Dr. Desai cannot engage in text messaging. She did not keep pace with advanced technology.

You'll hear testimony from Dr. Dill, from Dr. Rosen, and I believe from Dr. Desai that the field of chest radiology has evolved significantly over the last 20 years, and more so in the last five years. That won't be in dispute. Take that knowledge, compare it to her CV, and see if she evolved with it.

Dr. Rosen has given a mandate when he was brought into UMass Memorial in 2012. Part of that mandate was to improve quality of radiology within this region. As he took on that responsibility in 2015, he conducted a nationwide search for an expert in chest radiology to become the division chief. He recognized that UMass Memorial was not on the cutting edge of quality at that time. They publicly posted a position. They looked throughout the nation, and they found Karin Dill, Dr. Karin Dill. She's 53 years old.

You're going to hear from her. She flying in from Atlanta. She now works at the Emory University Hospital. She'll be flying in to testify on behalf of the defense.

You'll see that she is one of the foremost experts in this nation when it comes to chest radiology. You'll see she

has published over 60 times in seven major medical journals across the United States on quality, on patient safety, on radiology within the field of chest.

You'll see that she is on virtually every committee under the sun when it comes to radiology, the American Board of Radiology, the Radiology Society of North America. Compare that to Dr. Desai. The last time Dr. Desai was involved in the Radiology Society of North America, a society with over 50,000 members, was back in 1981.

Dr. Dill will present that she came in with a mandate as well from the chair, and that mandate was improve quality within chest.

You'll see that she has three advanced fellowships in chest radiology. Fellowship training is the most advanced training a physician can receive following medical school. The evidence will show she has three of those specific to chest.

And the evidence will show there's no comparison in terms of skill set, in terms of capability, in terms of quality, in terms of patient safety when you look at Dr. Desai versus Dr. Dill.

The evidence will also show very clearly Dr. Desai's reaction to Dr. Dill being brought on. She was hostile to Dr. Dill as the evidence will show. She was dismissive of her. She undermined her. She talked behind her back. That was her boss. She would yell at her boss.

And most importantly, she was unwilling to accept constructive criticism. Dr. Dill came in and established a new set of templates and protocols for chest CTs so that there would be standardization, and anyone who works in the medical field, as the evidence will show, can tell you standardization is important for quality and patient safety.

How did Dr. Desai react to that? The evidence will show she manually overrode the templates. She didn't care about patient safety in that regard.

So she refused to follow the protocols being established to protect patients. And Dr. Desai's evidence will show was upset, upset that Dr. Rosen brought Dr. Dill into UMass. Dr. Dill was recruited from the University of Chicago to come in. Dr. Desai was upset. Well, why? This won't be in dispute. The "why" is because Dr. Rosen didn't ask her if she wanted to be chief.

Dr. Desai will admit she's not as well qualified as Dr. Dill. The CV comparison will make clear she's not as well qualified as Dr. Dill, but she felt she should have been asked to become division chief. That's what the evidence is going to show, and she became upset that she wasn't asked. The position was posted nationwide. She didn't apply for the position. She just felt because she had 20 years service at UMass he should have ignored qualifications and asked her.

And then the evidence will show this unique

entitlement mentality and desire to be treated special simply because she was at UMass for 20-plus years. Not only did she want to be asked to be division chief, despite admitting she is not qualified, she wanted to be granted what's known as academic days.

What are academic days? UMass Memorial is what's known as an academic medical center. They're affiliated closely with the University of Massachusetts Medical School. They train medical students. It's important for the positions at UMass Memorial to enhance the reputation of the school through research writing, through traveling across the country lecturing on advances in medical science to include radiology. Because of that importance and enhancing the stature of UMass Memorial as a medical school, these professors and associate professors's positions are given days within their 365-day calendar to allot to research and writing and lecturing.

Dr. Desai demanded to have those days assigned to her. She wanted 12 days. Why? The evidence will show it wasn't so she could research. It wasn't so she could write. It wasn't so she could lecture. The evidence will show she was demanding these days because, in her words, she had paid her dues.

There is not an academic policy that says you pay your dues you get academic days. In fact, Dr. Rosen, who you'll learn from watching him on the stand, is a fair man, is a thoughtful man, is a methodical man, and you'll see it not only

from his testimony, but from an email. He asked her, Put in writing your proposal of how you use these academic days so that we can consider it; and if you're going to use them for appropriate purposes, he would have granted her academic days.

How does she respond to that proposal, that request from the chair, her boss, two levels up? She ignored it. But she kept asking for academic days. Why was she asking for academic days? The evidence will show because she wanted days off. She didn't want to work. That's not prioritizing patient safety.

The evidence will also show that she made other demands, that she wanted to be treated special. There's what's called call coverage in the field of hospital medicine. I mentioned UMass as an academic medical center. It's also a level 1 trauma center. So regionally, the most complex patients come here. Trauma patients come here. UMass Memorial Medical Group does not have the luxury to ever take a day off or an hour off. They're on 24 hours a day, seven days a week, 365 days a year. Christmas they have to have a radiologist covering, New Year's, Thanksgiving. It doesn't matter. Every weekend there has to be coverage. They're not overflowing with chest radiologists. They only have so many. So there's a fair share allotment of weekends and major holidays. It comes out to about ten weekends a year that a radiologist has to cover.

What was Dr. Desai's response to that coverage

requirement and the need for quality, the need to be able to read chest CTs on a weekend? She demanded to be removed. She demanded not to have to do her fair share. She demanded that of Dr. Rosen. She demanded that of her division chief. She demanded that of a senior academic administrator you'll hear from, Randa Mowlood. Why? Because she paid her dues. Not because she thought it was in the interest of patient safety.

You'll hear evidence that Dr. Desai would not respond to email communications from her division chief. She wouldn't respond to email communications from her chair. She would ignore them. He would have to ask would you please respond to this email.

You'll hear evidence from the senior academic administrator that they have to plan vacations out a year in advance to determine coverage, make sure all the weekends are covered. She wouldn't reply. She wouldn't give her vacation needs. They would have to ask her over and over again to put them in. Finally, you'll see an email where they said, We're just going to assign you, because you won't respond to us.

The evidence of that combined with how she treated her division chief, the evidence will show, you'll hear from Dr. Rosen, that he had to consider and he did consider, and he met with human resources to consider terminating Dr. Desai's employment for disruptive behavior.

I mentioned that you'll be able to assess that

Dr. Rosen is a fair man, he's thoughtful, and methodical. He chose not to go down that path. At the same time, however, while he was considering possibly having to terminate her for disruptive behavior, he was receiving quality complaints.

Go back to the nationwide search that I mentioned.

Dr. Dill is brought in to enhance quality. She does. People now are seeing -- referring providers are seeing the quality of her reads and others, and now there's a comparative base to Dr. Desai.

Providers began complaining to Dr. Dill as division chief about her reads. These are reads that matter to patients. These are reads about patients with possible lung cancer or heart problems. Dr. Dill did what she had always done in her career. She would do an overread, see if she agreed. She will testify that she often agreed mistakes were made. Not always. Not always, but often. And she'll tell you what she normally does she did with Dr. Desai. She went to speak with Dr. Desai about the issues because it's meant to be collegial, collaborative. It's meant to be a learning experience.

Dr. Dill will testify that 99 percent of the time that she would go to a fellow radiologist and say, hey, look, I think you missed this, they would be thrilled because they're learning an advanced patient safety. There's 1 percent of the time that didn't happen. She'll testify that 1 percent was

Dr. Desai. In fact, Dr. Desai was dismissive when she would come and try to talk to her about quality. She actually would put her hand up to dismiss her and keep her away from her being able to talk to her.

Given all this, the fact that Dr. Dill is bringing to Dr. Rosen quality concerns, the fact that Dr. Rosen is hearing from others as to quality concerns, and particularly you'll hear from the president of the medical staff of Marlborough Hospital, an acute care pulmonologist, Dr. Robinson, that -- in Dr. Robinson's words to Dr. Rosen, she, who's an expert in lungs, she could not trust the reads by Dr. Desai.

You'll also hear Dr. Robinson was tough. She complained about a number of radiologists, not just Dr. Desai. And Dr. Rosen had to look into each of those, but there's only one where there was a complaint I can't trust the reads in chest, and that was as to Dr. Desai, and there's only one that the expert in radiology from a nationwide search said, We have a problem in chest, and that was as to Dr. Desai.

So Dr. Rosen, who I told you has on his shoulders the mantle of responsibility, had to decide how -- what should he do about this. And he had a variety of choices.

One, as he will testify, is terminate the employment of Dr. Desai immediately for quality based on the strength of Dr. Dill's telling him her quality is substandard, we can't afford to keep her reading chest CTs.

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Well, Dr. Rosen, again, being a fair man recognized there was conflict between Dr. Dill and Dr. Desai. He didn't do that.

The second option he had, and this is an option that all chairs have within a hospital setting, he could refer the matter to a medical executive committee, refer it to the broader medical staff for investigation.

You'll hear from Dr. Rosen, he contemplated that. didn't do that. And he'll tell you why he didn't do that. Because if you send it to the medical executive committee, the medical staff, and they conduct an investigation, as Dr. Rosen will tell you, and if they decide to pull her privileges completely, if they were to find she shouldn't have privileges at all, there's a mandatory report to the Board of Registration in Medicine. That mandatory report will result in an investigation by the Board of Registration against Dr. Desai. That can lead -- not always -- it can lead to revocation of her medical license. Dr. Rosen was not looking to do that. felt that she was capable of chest x-rays. He didn't know if she was capable of chest CTs. He's not -- he'll tell you he's a musculoskeletal radiologist. He's not a chest specialist. He couldn't review the quality himself. But he didn't want to go to the step where she might lose her license completely.

So what did he do? He chose -- you heard my brother counsel refer to it as an independent review, although he told

you it's not independent. Let me tell you the facts that you're going to hear in evidence to allow you to decide if it's independent.

Dr. Rosen directed his staff to pull 25 CTs at random of Dr. Desai. He could have taken those 25 CTs and sent them out for independent review. He didn't. He felt it would be more fair to have a comparative base, so he directed his staff to pull 25 other CTs from other radiologists to compare to. He could have chosen to say, pull 25 of Dr. Dill's chest CTs, who had not received a great deal of quality complaints. He could have chosen the other chest radiologist at the time, Eric Schmidlin, who's fellowship trained as well in chest. He didn't, because he felt -- you'll hear him tell you he felt that would be unfair to Dr. Desai, that would stack the deck against her. He didn't want to do that. So he asked for 25 and 25 of people who aren't dedicated chest radiologists. They have to read chests at times because of the volume of chests that come, but they're not dedicated chest radiologists.

And then is it independent to send it out to an outside reviewer? What did he do to ensure independence? He chose a chest radiologist, a specialist in chest from the Beth Israel Deaconess Medical Center. You're going to see her CV. Her CV looks a lot like Dr. Dill's. He chose her, and then he wanted to make sure that she would have no idea of who she's looking at. So he directed his staff delete the patient names,

but also delete the reading radiologist's name. She had no idea she was reading Dr. Desai's chest CTs. She actually didn't know if she was reading 50 chest CTs belonging to one radiologist or ten or five or 50 radiologists, one each. She had no idea what she was looking at, other than she was asked give an opinion, as he will testify, is there a minor error, a major error, could it have affected patient care.

And you'll be tasked with deciding whether or not that's an independent approach to assessing quality. It was completely blinded. There was no way you could determine age, and there will be no testimony to indicate that Dr. Rosen conferred with this outside reviewer in any manner other than what I just described.

Simply put, the testimony will be she had no idea who she was reviewing. She had no idea of the age who she reviewed. And she came up with results and sent them to Dr. Rosen. Her results had no indication that they were Dr. Desai's. Dr. Rosen had to ask someone else to unblind it now to see which radiologist did what. Unfortunately, Dr. Desai's readings were substandard compared to the others.

Were there misreads by some others? Yes. But there were five major misses and four additional minor misses. The five major misses mean that it could impact negatively patient care.

Dr. Desai -- Dr. Rosen did not make a decision related

to Dr. Desai's employment based solely on that independent review. The independent review was designed to confirm or refute the complaints that had been coming in from Dr. Dill and from the president of the medical staff from Marlborough Hospital. And it did confirm it. And he felt that he had to, as he'll tell you, he felt constrained he had to act and remove her privileges for CT scans immediately. And so he did. He allowed her to continue to do chest x-rays, and just you'll hear evidence about this, again through Dr. Dill and Dr. Rosen. But essentially an x-ray is just like you see in TV. They throw it up against the back lit screen, and you can see the broken bone, right?

CT is like multiple slices that you look at, and then with technology you can form a three-dimensional image and can spin it and try and find nodules. They determined that she wasn't capable of doing chest CTs going forward in the interest of patient safety.

And then he had to decide again his options: One, terminate her employment immediately. He didn't do it. Two, send it to the medical executive committee, which could result in an investigation by the Board of Registration in Medicine. He didn't do it. Or three, treat it as a termination without cause. Based on his sense of fairness, that's what he did.

Under her contract, and you'll see the contract in evidence, she -- because she was here for over 20 years had a

contract that allowed for one-year notice of termination of employment.

For someone who's being accused of age discrimination, he was willing to keep her on staff for another year. He'll tell you why. He'll testify he kept her on staff for the additional year, because: One, he felt she could do chest x-rays; and two, he understood how damaging it is to be terminated immediately.

In a year's time frame he expected her to land a job elsewhere just doing chest x-rays, not doing chest CTs. That's what the year of notices provide for, and it's common sense obviously. When you apply for a job while you're still working you have a better chance, particularly if you're in an academic medical center, saying I'm changing pursuing my career.

What will the evidence show as to what Dr. Desai did that year? Nothing. She didn't apply for a single job. She didn't apply for 15 months for a job.

Let me go back now to age, age specific, and I'm going to close on some numbers with respect to age. At the time that Dr. Rosen was initiating an independent review of Dr. Desai's reads, and you're going to hear evidence that this took way too long, Dr. Rosen will admit to you this took way too long. He should have moved quicker. He's going to admit that to you. It got delayed based on his workload. It got delayed based on the expert having to -- the expert being Karin Dill, but then

it got delayed based on the discussion with Dr. Litmanovich, the independent reviewer based on her schedule, because she was going to be flying out for a national conference for some time and had to prepare for that. But during the time of this delay, Dr. Rosen, who's accused of age discrimination, fired a 40-year-old male radiologist. Why? For quality. He didn't give that male younger radiologist the benefit of an independent review, because he could assess the quality. It wasn't a chest radiologist, but it was a younger man. He fired him.

He also during this time fired a 33-year-old radiologist for performance concerns, the same thing, no benefit of an independent review. He made the decision to move the individual on.

Since Dr. Rosen's date of hire in 2012, the evidence is going to be clear. He has personally hired 15 radiologists over the age of 60. He has hired 15 over the age of 60, six of them older than Dr. Desai.

As of her termination date, March of 2019, she had been given notice in March of 2018; in '19, she's 68 years old. At that time, Dr. Rosen's department employed an 81-year-old radiologist, who is working per diem but working over 40 hours a week, a 74-year-old radiologist, a 73-year-old, a 70-year-old, a 69-year-old, and eight others between 60 and 67. This is who he had working for him in his department.

Today, as you'll hear in evidence, he has a radiologist who this week will turn 85. He has another radiologist who is 76, two age 70, three age 69, three age 68, 15 others between 60 and 66. That's clear evidence, overwhelming evidence, that he's not basing these decisions on age.

And, last, we're going to hear the testimony of one of those radiologists, Dr. Mona Korgaonkar. Dr. Korgaonkar is 76 years old. She will testify to you how supportive Dr. Rosen has been throughout her time at UMass. She wanted to change campuses because it would be more convenient for her to work at Memorial as opposed to University. He approved it. She wanted to go part-time because it would better -- it would fit her lifestyle better. He approved it. She wanted to convert to per diem. He approved it. He approved it because when you go per diem, you don't have to worry about call coverage. That's the only exception to call coverage.

Dr. Desai was offered that exception when she was demanding to be released from call coverage. She didn't take it. She didn't want it. But he offered it to her. He didn't try and force her.

And Dr. Korgaonkar will tell you she asked for it and was given per diem. Dr. Korgaonkar will also tell you she has been a friend of Dr. Desai's for 40 years, is a colleague of Dr. Desai's. They worked together in another location.

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Dr. Korgaonkar will tell you, like Dr. Desai, she went to medical school in India.

So what's the difference? What will the evidence show is the difference? Three, three things.

One, Dr. Korgaonkar is older than Dr. Desai and still working at UMass; two, Dr. Korgaonkar will tell you she kept pace with technology. She will testify to you that she took it upon herself at her own time to go into Mass. General Hospital two weeks at a time and do mini fellowships, because when she got trained there wasn't CT scanning. There wasn't MRIs. The evidence will make clear she's not capable of MRIs, that she avoided vascular studies. Dr. Korgaonkar got training in MRI so she could do it. She got training in CT scans so she could do it effectively. And the biggest thing -- difference that you'll hear from Dr. Korgaonkar compared to Dr. Desai, the 76-year-old versus now the 73-year-old, it will come from Dr. Rosen. Dr. Korgaonkar didn't have quality complaints. didn't receive quality complaints from Dr. Korgaonkar from referring providers. The division chief of Dr. Korgaonkar's division didn't come to him and say we have a problem. the difference. It all comes down to quality. It all comes down to patient safety.

At the conclusion of the trial, I'll return and I'll ask that based on the evidence that's presented to you, the actual evidence presented, that you return a verdict in favor

1 of UMass Memorial Medical Group and Dr. Rosen. Thank you. 2 3 THE COURT: Thank you. Plaintiff, you may call your first witness. 4 5 MR. KOLMAN: The plaintiff calls Max Paul Rosen to the 6 stand as on cross. THE COURT: So ladies and gentlemen, so here's going 7 to be the rough schedule, and we'll see how close we can come 8 9 to honoring it. It depends a lot on witnesses. Obviously, 10 we're going to start at nine o'clock. We usually go until 11 about 10:30, and then we'll take a 20-minute break. And then 12 I'll reconvene and go until noon, take a ten-minute break, and 13 go until one o'clock. However, if you need to use the washroom 14 or if you've just had enough of us and you need to take a break, raise your hand, okay? 15 16 THE CLERK: Please raise your right hand. 17 Do you solemnly swear that the testimony you're about 18 to give this Court will be the truth, the whole truth, and 19 nothing but the truth, so help you God? 20 THE WITNESS: Yes, I do. 21 THE CLERK: Please be seated. 22 Please state your name and spell your last name for 23 the record. 24 THE WITNESS: Sure. My name is Max, M-A-X, Paul, 25 P-A-U-L, Rosen, R-O-S-E-N.

## 1 CROSS-EXAMINATION

- 2 BY MR. KOLMAN:
- 3 Q. Good morning, Dr. Rosen.
- 4 A. Good morning.
- 5 Q. As we've heard from your counsel, you are, I believe, the
- 6 chair of the Department of Radiology at the University of
- 7 Massachusetts Memorial Medical Center; is that right?
- 8 A. That's correct.
- 9 Q. And you've been in that position since 2012; is that
- 10 correct?
- 11 A. Correct, September of 20 -- 2012.
- 12 | Q. And before that you were the vice chair, that would be the
- 13 executive vice chair, at Beth Israel and Deaconess Hospital,
- 14 | would that be correct?
- 15 A. Yes.
- 16 Q. And you have certain duties and responsibilities, some of
- 17 | which have been stated by your counsel. One of which is, as
- we've heard, high quality and safe imaging services for the
- 19 patients of University of Massachusetts, correct?
- 20 A. Yeah, correct.
- 21 Q. And to ensure the smooth and efficient and appropriate
- 22 | running of the department in order to support the institution
- and other physicians and departments, correct?
- 24 A. Correct.
- 25 Q. And also to ensure the appropriate education of the

- 1 physicians, which includes medical students, residents,
- 2 fellows, and any other trainees, correct?
- 3 A. Correct.
- 4 Q. And also you have responsibility to oversee the research
- 5 operations of the department, which are based in the medical
- 6 | school; is that correct?
- 7 A. Yes, that's correct.
- 8 Q. And you also have responsibility for the well-being and
- 9 career development and work environment for the faculty, the
- 10 | trainees, and the staff in the department, correct?
- 11 A. Correct.
- 12 Q. And that would include Dr. Charu Desai, correct?
- 13 A. Correct.
- 14 Q. With whom you've worked since you came on board in 2012,
- 15 | correct?
- 16 A. Yes, that's correct.
- 17 Q. And when you came on board, you took the time and trouble
- 18 | to familiar yourself with the doctors who you were going to
- 19 | supervise, correct?
- 20 A. Yes, I pretty much met with everybody.
- 21 Q. Can you put the microphone a little bit more towards you.
- Thank you.
- 23 A. Yes. Yes, when I started at UMass and I was onboarding, I
- 24 | pretty much met with every -- every faculty member and spent
- 25 | half an hour or an hour speaking with them directly.

- 1 Q. And not just meet with them, you presumably studied their
- personnel files, correct?
- 3 A. I met with them and talked about their -- their careers.
- 4 | I don't think I went through everybody's personnel file.
- 5 Q. Well, you presumably had the CV or the personnel file in
- 6 | front of you when you were talking to the particular faculty
- 7 | member, or perhaps you wouldn't have been able to discuss with
- 8 them their career aims in quite such detail?
- 9 A. I would have reviewed people's CVs, their résumés, at
- 10 my -- probably the first annual meeting that I had with people.
- 11 Medical School stipulates that I have an annual meeting once a
- 12 | year; and as part of that review process, as part of the
- packet, people -- the faculty supply their CV.
- 14 | Q. And you would have noted with Dr. Desai that she was the
- 15 | first and only resident in the radiology residency program at
- 16 | the University of Mass. when the program first started in 1978,
- 17 | you would have seen that, correct?
- 18 A. Dr. Desai had told me that she was part of the first
- 19 residency class at UMass.
- 20 Q. So you knew that?
- 21 A. Yes.
- 22 | Q. And you knew also that she was the first graduate of the
- 23 | radiology residency program as well, correct?
- 24 A. I knew she was in the first class.
- 25 | Q. And that she had fellowship training in CT scans and

- 1 ultrasounds, and that was also at UMass, correct?
- 2 A. That is correct.
- 3 Q. And in addition to her general residency training and
- 4 diagnostic radiology, she also had specific fellowship training
- 5 in the specialization of interpretation of CT scans and
- 6 ultrasounds, correct?
- 7 A. Yes, Dr. Desai completed a CT and ultrasound fellowship at
- 8 UMass.
- 9 Q. And that she had returned to the University of
- 10 Massachusetts in January of 1992 and worked for a total of
- 11 27 years at the University of Massachusetts as an attending
- 12 | radiology physician before being terminated, correct?
- 13 A. I knew that Dr. Desai had left UMass at some point and
- 14 | worked in a private practice and then came back to UMass.
- Q. So what you don't know is that she worked for 27 years,
- 16 | correct?
- I mean, you're telling me what you do know. But what
- 18 | you doesn't know is that she worked for 27 years; is that
- 19 correct?
- 20 A. Well, no, you can do the math of when she -- when she
- 21 | finished training and what the date was, and if that's 27
- 22 years, that's 27 years.
- 23 | Q. And that's a long time, correct, for -- for an employee to
- 24 | be at any institution, wouldn't you agree?
- 25 | A. It -- that's subjective. I mean, there are people who

- spend many more years in institutions and people who spend
- 2 fewer years.
- 3 Q. Okay. So you didn't consider it a long time, really? And
- 4 in any event that wouldn't matter to you, would that be
- 5 correct?
- 6 A. People spend varying parts of their careers at
- 7 institutions. Twenty -- now, I would say that having spend
- 8 27 years at one institution would be one of the longer times
- 9 that somebody would have spent in a department.
- 10 Q. Well, I understand that one might expect this and people
- 11 | might expect that, but actually my question is to you
- 12 personally, Dr. Rosen, as to what you yourself thought.
- This was a doctor who was at the institution for some
- 14 | 27 years; and from 2012 until her termination, you were
- 15 responsible for evaluating her, correct?
- 16 A. Correct.
- 17 Q. And that was an annual evaluation, correct?
- 18 A. That is correct.
- MR. KOLMAN: Why don't we put up Exhibit 29.
- Okay. Stop.
- 21 Q. I'm showing you on your screen a University of
- 22 Massachusetts Medical School, Worcester PA annual faculty
- 23 report and evaluation of professional activities.
- Now I realize that this is 2009, 2010, but why don't
- 25 | we move ahead to the time that Dr. Rosen was actually at the

- 1 | Medical School.
- 2 That's fine.
- It would be fair to say, would it not, that from
- 4 July 2012 until June 2013, I realize we're going back a little
- 5 bit, you would have evaluated my client on the basis of this
- 6 performance review document, correct?
- 7 A. This for -- as related to the Medical School. This is a
- 8 Medical School form.
- 9 Q. Okay. This is a Medical School form with a faculty annual
- 10 performance review on it, correct?
- 11 A. Correct, for the Medical School.
- 12 Q. Okay. Let's just try to do this in sections.
- And on it is Dr. Desai's name, correct?
- 14 A. Yes.
- 15 Q. And on it is her division, thoracic radiology, right?
- 16 A. Correct.
- 17 MR. KOLMAN: Why don't you go to the end, and let's
- 18 | see the signature.
- 19 Q. Okay. And that's your signature, is it not --
- 20 A. Yes, it --
- 21 Q. -- Dr. Rosen --
- 22 A. Yes, it is.
- 23 0. -- 6/3/13?
- 24 A. That is my signature.
- 25 Q. Good.

```
MR. KOLMAN: Go up slightly, just -- that's it.
 1
      Supervisor/evaluator evaluation. Just go up slightly. That's
 2
 3
      it.
 4
           Now, here's an evaluation by you. You put "fine."
 5
      then evaluate the faculty member's contribution to education.
 6
      Only two responses to resident's evaluation for Dr. Desai;
      however, all were outstanding.
 7
 8
               Evaluate the faculty member's contributions to
 9
      research and scholarly activities. N/A.
10
               Evaluate the faculty member's goals for the coming
11
      year. Continue providing excellent clinical service and
      clinical teaching at PACS workstation.
12
13
               Go up.
14
               Other comments. None.
               Thank you very much, you say, no issues.
15
16
               Now, we can certainly say that for 2013, no problems
17
      with Dr. Desai, correct?
18
           No problems that I was aware of, correct.
      Α.
19
           By your answer, you're suggesting that there indeed could
20
      have been problems just that you weren't aware of them, and
21
      this is why you gave my client her faculty evaluation the way
22
      that you did; is that what you're telling us?
23
           I rely on the division chief to give me feedback about
      how --
24
```

Ο.

Is it your testimony --

```
1
               MR. WAKEFIELD: Objection, your Honor.
               MR. KOLMAN: I'm sorry. What?
 2
 3
               THE COURT: So hold up a minute.
 4
               Are you going to be examining this witness,
 5
      Mr. Kilroy?
 6
               MR. WAKEFIELD: Yeah.
               THE COURT: Okay.
 7
               MR. WAKEFIELD: Yes, your Honor.
 8
               THE COURT: So that objection's overruled.
 9
               Please let the witness finish the answer.
10
11
               MR. KOLMAN: Yes, of course.
               THE COURT: And the next question, please.
12
13
      BY MR. KOLMAN:
14
           Your testimony, Dr. Rosen, that your own evaluations
15
      cannot really be relied upon, because the information that
16
      you're getting is from some other source?
           No, what I'm saying is that I rely on other people, each
17
18
      division chief, to give me feedback on how their faculty
19
      members and their division are doing; and at this point, I had
20
      no indication that there were any issues with Dr. Desai and
21
      hence my -- my comments here.
22
           And in your position, you have to rely on feedback from
23
      other doctors. You couldn't do your job otherwise, correct?
24
      Α.
           Correct. The department now has 80 faculty. Back then we
25
      probably had about 35 or 40 faculty, and that's why we're
```

- 1 structured with divisions and division chiefs to provide me
- 2 feedback.
- 3 Q. But you also discussed you met with my client at that
- 4 point as well, correct?
- 5 A. Yes, I did.
- 6 Q. Okay. Good.
- 7 MR. KOLMAN: Why don't we go up.
- 8 2013 to 2014, why don't you go to the end where the
- 9 signature line is.
- 10 Thank you.
- 11 Q. This is your signature again, is it not?
- 12 A. Yes, that is.
- 13 Q. September 14th?
- 14 A. Yes, that is.
- 15 Q. September -- I'm sorry. September 2014?
- 16 A. Uh-huh.
- 17 MR. KOLMAN: Go down a little bit.
- 18 Q. Here's your evaluator portion. That's it.
- 19 Evaluate the faculty member's contribution. Nothing.
- 20 Evaluate the faculty member's contribution to
- 21 education. Nothing.
- 22 Evaluate the faculty member's contribution to research
- 23 | and scholarly activities. Nothing.
- Evaluate the faculty member's goal for the coming
- 25 | year. Nothing.

```
1
               Other comments from other evaluators or other -- or in
      other areas. Nothing.
 2
               MR. KOLMAN: Go down.
 3
           Dr. Desai and I discussed options for academic time.
 4
 5
      Unfortunately, the Department's policy has been in place for at
 6
      least two years and cannot be modified on an individual basis.
      I appreciate the clinical efforts of Dr. Desai as well as her
 7
      contribution to resident teaching at the PACS station.
 8
 9
               Did I read that accurately?
10
      Α.
          Yes. Yes, you --
11
      Ο.
          Thank you.
12
          Yes, you did.
      Α.
13
      Q.
          Why don't we move on.
14
               THE COURT: So, Mr. Kolman, for my purpose and for the
      record's purposes, is this being offered; and if it isn't, does
15
16
      it have an ID number?
17
               MR. KOLMAN: It does, your Honor. It's Exhibit 29.
18
               THE COURT: For -- is that -- and is it about to be
19
      moved or are you --
20
               MR. KOLMAN: It is going to be moved, your Honor.
               THE COURT: And it's Exhibit 29?
21
22
               MR. KOLMAN: As Exhibit 29.
23
               THE COURT: Is there any objection?
24
               MR. WAKEFIELD: No, your Honor.
25
               THE COURT: All right. It's so marked.
```

```
1
               (Exhibit No. 29 was received into evidence.)
           Moving on now to 2014 --
 2
      Q.
               THE COURT: And just for the record --
 3
               MR. KOLMAN: I'm sorry, your Honor.
 4
 5
               THE COURT: -- it is how many -- what exactly is it?
 6
               MR. KOLMAN: These are University of Massachusetts
      Medical School faculty annual performance reviews signed by
 7
      Dr. Rosen concerning Dr. Charu Desai.
 8
 9
               THE COURT: And for what years, please?
10
               MR. KOLMAN: They are from 2013 up to, I believe,
11
      2017.
12
               THE COURT: Do you agree that's what it is?
13
               MR. WAKEFIELD: I believe they start at 2009, your
14
      Honor. I'm going through it right -- right now.
15
               MR. KOLMAN: I didn't use 2009, because Dr. Rosen was
16
      not at the department at that time; and therefore, her faculty
17
      annual --
18
               THE COURT: Hold up.
19
               MR. KOLMAN: Sorry.
20
               THE COURT: What do you have?
21
               MR. WAKEFIELD: Your Honor, I just want to be clear
22
      that the Exhibit 29 that we're seeing is several or a dozen of
23
      the reviews.
24
               THE COURT: That's why I'm getting -- that's what I'm
25
      getting at.
```

1 MR. WAKEFIELD: Yeah, it looks to me that they're from 2009, and the last one is indeed 2017 to 2018. 2 3 THE COURT: And are you offering the entire package? MR. KOLMAN: I'm only offering the portions to which 4 5 Dr. Rosen has testified, meaning 2012 through 2017, '18. 6 THE COURT: Are you okay with that? MR. WAKEFIELD: Yes, I am. Thank you, your Honor. 7 THE COURT: All right. Thank you. 8 9 MR. KOLMAN: Thank you. BY MR. KOLMAN: 10 11 We're moving to 2014 to 2015. 12 THE COURT: Do you want to publish that to the jury? 13 MR. KOLMAN: I'm sorry, your Honor. 14 THE COURT: Do you want to publish that to the jury? 15 MR. KOLMAN: Yes, please. Please publish it. 16 BY MR. KOLMAN: 17 Ο. We are moving --18 THE COURT: Oh, hold up. 19 MR. KOLMAN: Okay. 20 THE COURT: In your -- in the back row in your arm 21 rests, you have screens. You can probably pull them out now, 22 if you would; and for those of you in front, are your screens 23 hot? 24 JUROR: Yes. 25 THE COURT: Okay. Please don't cut your fingers off

```
1
      when you're getting those screens out.
               If I told you how much of our taxpayer money we spent
 2
      on those, you would probably jump over the railing and dope
 3
 4
      slap me.
 5
               Are you guys -- do you got a screen? You good?
               JUROR: Yeah.
 6
               THE COURT: Are you guys -- has everybody got a hot
 7
 8
      screen?
 9
               JUROR: Yes.
10
               THE COURT: Okay. Good.
11
               Go ahead, Mr. Kolman.
12
      BY MR. KOLMAN:
13
           I'm showing you, Dr. Rosen, and the jury will see this
14
      also, this is a faculty annual performance review July 2014 to
      June 30, 2015.
15
16
               Why don't we go to the end of it where the
17
      supervisor's section is.
18
               That's your signature, correct?
19
      Α.
         Yes, it is.
           Okay. Move up. That's it. This is your evaluation.
20
21
      put nothing in A, evaluate the faculty member's contributions
22
      to clinical care (as appropriate).
23
               B, evaluate the faculty member's contributions to
24
      education. Nothing.
25
               Evaluate the faculty member's contributions to
```

- 1 research and scholarly activities. Again, blank.
- 2 Evaluate the faculty member's goals and mentoring
- 3 needs for the coming year. Nothing.
- 4 Other comments, i.e., from other evaluators or
- 5 other -- in other areas. Nothing.
- 6 MR. KOLMAN: Go down.
- 7 Q. Thank you very much. I value your feedback. That is from
- 8 Dr. Desai; is that correct?
- 9 A. Yes, that's correct.
- 10 Q. Right. And then Dr. Desai and I discussed the overall
- 11 staffing and functioning of the thoracic imaging division and
- 12 | growth planned with the arrival of Dr. Dill as the new division
- 13 | chief. As always, I appreciate her commitment to the
- 14 department and teaching our residents, correct?
- 15 A. Correct.
- 16 Q. And there's nothing else there, correct?
- 17 A. Correct.
- 18 Q. Thank you. Why don't we move on to the next evaluation.
- 19 This is 2015 to 2016.
- 20 MR. KOLMAN: Move to the end. Thank you.
- 21 Q. This is your signature again, isn't it, September of 2016,
- 22 | correct?
- 23 A. Correct.
- 24 Q. Okay. And again, I'm not going to beat a dead horse,
- 25 | there's nothing here from A through E, correct?

- 1 A. Correct.
- 2 Q. Except my client's statement thanks, and Dr. Desai has
- 3 | contributed to the chest section through her clinical work
- 4 interpreting chest x-rays and chest CT and teaching residents
- 5 at the PACS station, correct?
- 6 A. That is correct.
- 7 Q. And you wrote that, correct?
- 8 A. Correct.
- 9 Q. Let's go down a moment and make sure we have the date,
- 10 | 2016. 2016, you don't put anything about the fact that she
- 11 hasn't kept up with education, have you?
- 12 There's nothing there, correct?
- 13 A. If you go back --
- MR. KOLMAN: Your Honor, I'm sorry.
- 15 Q. Is there anything there or not?
- 16 A. If -- that's actually the point. There is nothing there.
- 17 | If you go back up on that form, there -- I think there are
- 18 sections that show what other education, what contributions to
- 19 the field of radiology the faculty member has made, and there's
- 20 nothing there.
- 21 Q. Dr. Rosen, this document is your opportunity as her
- 22 | supervisor to make a comment about her, correct?
- 23 A. Correct.
- Q. And you have every opportunity to put down there some of
- 25 | the things that your counsel has said that she apparently is

```
1
      deficient in, but you don't put anything there at all, correct?
               Correct?
 2
           I acknowledge Dr. Desai's work in teaching --
 3
 4
      Ο.
           Okay.
           -- and education in residents.
 5
 6
           Dr. Rosen, it's just a simple question. We'll be here a
      long time. We don't want to be. It's simply yes or no.
 7
 8
           Could you repeat the question.
      Α.
 9
           Yes. You have every opportunity to put down any
10
      deficiency at all that your counsel went on and on about, but
11
      you don't put down any deficiency here at all, do you?
12
           No, but there's also --
      Α.
13
          No, the answer is no --
      Q.
14
               MR. WAKEFIELD: Objection, your Honor.
15
               THE COURT: So, Dr. Rosen, if you can answer the
16
      question that's asked in the form that it's asked, please do.
17
      And if you can't answer it in the form that it's presented,
18
      please say so, and be rest assured that counsel is going to be
19
      questioning you themselves in order to clean up anything that
20
      they think is inaccurate, okay?
21
               THE WITNESS: Of course.
                                         Thank you.
22
               THE COURT: So please answer the question as asked.
23
               Please ask a question, Mr. Kolman.
```

25 BY MR. KOLMAN:

MR. KOLMAN:

Sure.

- 1 Q. Once again, you had every opportunity to write down
- 2 anything about my client's deficiencies in this section, but
- 3 you do not do so, correct?
- 4 A. That is correct.
- 5 Q. And let's go to 2017. 2016 to 2017. Let's go to the end.
- 6 Okay. This is 2017. This is September of 2017.
- 7 When did you terminate my client; do you remember?
- 8 A. (No response.)
- 9 Q. I can remind you.
- 10 A. Please.
- 11 Q. March 14th, 2018, does that sound familiar to you?
- 12 A. Yes.
- 13 Q. So six months before my client is terminated, you evaluate
- 14 her.
- MR. KOLMAN: Why don't you go down slightly, and let's
- 16 see -- just stop.
- 17 Q. Dr. Desai and I discussed her recognition by this year's
- 18 graduating residents as their teacher of the year. We also
- 19 discussed several of her concerns about allocation of academic
- 20 time, call responsibilities, et cetera. These have previously
- 21 | been discussed with Dr. Desai and representatives from
- 22 H -- from the HR department.
- 23 Did I read that correctly?
- 24 A. Yes, you did.
- 25 | Q. Okay.

- 1 MR. KOLMAN: Move -- move down a little bit.
- 2 Q. And here we have nothing, A through E.
- 3 So let's be clear about this. Six months before you
- 4 terminate my client, you have absolutely no comment about her
- 5 abilities according to this document, correct?
- 6 A. Correct, this is --
- 7 Q. And -- and -- and she is voted teacher of the year by the
- 8 residents, which you acknowledge, correct?
- 9 A. Yes.
- 10 Q. Now, teacher of the year by the residents, that means that
- 11 | she was actually teaching residents at the time, correct?
- 12 A. Yes, as I've indicated on several other forms,
- 13 | Dr. Desai --
- 14 Q. She was teaching residents at the time, and they thought
- 15 she was so good that they voted her teacher of the year; would
- 16 | you agree with that?
- 17 A. Yes, I would like to clarify if I can.
- 18 Q. Well, you'll have a chance to clarify, okay?
- 19 A. Okay.
- 20 Q. Thank you.
- You don't remember the facts of March 14th, 2018, when
- 22 | you had your secretary call my client into your office and you
- 23 | told her she was being terminated; do you recall that?
- 24 A. Yes, I do.
- 25 MR. KOLMAN: Why don't we put up Exhibit 95.

```
1
               THE COURT: Well, just for -- is it being moved?
               MR. KOLMAN: It's being moved.
 2
               MR. WAKEFIELD: No objection, your Honor.
 3
               THE COURT: It is so marked? And what is it, please,
 4
 5
      Mr. Kolman?
 6
               MR. KOLMAN: Ninety-five, your Honor.
               THE COURT: I know it's number 95, but what is it?
 7
               MR. KOLMAN: It is a notice of termination of my
 8
      client, your Honor.
 9
               THE COURT: Thank you.
10
11
      (Exhibit No. 95 was admitted into evidence.)
12
               MR. KOLMAN: Move up. Thank you.
13
      BY MR. KOLMAN:
14
           When you called my client into your office, you didn't
      tell her what it was about, did you?
15
16
           I most likely told her that I needed to meet with her.
          Excuse me. Your secretary was the one who asked her to
17
18
      come. You actually didn't ask her.
19
               Do you recall that?
20
          No, I don't.
      Α.
21
           When she came into your office, Charles Cavagnaro was also
22
      there, correct?
23
      A. Correct.
      Q. He's the executive VP and -- and chief medical officer; is
24
25
      that right?
```

- 1 A. At the time, yes. He was the second arm.
- 2 | Q. Thank you. And that meeting -- and the meeting was at
- 3 | 12:00 noon, correct?
- 4 A. I assume so, if you say so.
- 5 Q. Did Dr. Desai have any forewarning that this was going to
- 6 be a termination meeting?
- 7 A. I or my secretary did not tell her what the meeting was
- 8 about.
- 9 Q. And you had never met with her before to tell her that her
- job might be on the line, correct?
- 11 A. I had met with Dr. Desai on several occasions throughout
- 12 the preceding, you know, one to two years, at which as she had
- various complaints and as we had issues with various aspects of
- 14 her performance.
- 15 Q. Excuse me. Did you understand my question?
- 16 A. Could you please repeat it.
- 17 Q. Yes. You never met with my client to tell her that her
- 18 job was ever on the line, correct?
- 19 A. I never met with her specifically to tell her that her job
- 20 was on the line --
- 21 Q. Thank you.
- 22 | A. -- but we did have several meetings to discuss --
- 23 Q. Okay.
- 24 A. -- behavior and other performance issues.
- 25 Q. Did I ask you about meetings regarding other performances?

```
1
      Did I ask that question?
      Α.
 2
           No.
           The answer is I did not, so please just answer my
 3
      Q.
 4
      question.
 5
               You say you had meetings with her, but we looked at
      the evaluation forms from 2013 to 2017, and you had the ability
 6
      to comment on those, and you do say that there were meetings,
 7
      but there's no meetings there that have affected her evaluation
 8
 9
      in any way, would you agree?
10
      Α.
           The -- the form that you have shown us is a form for the
11
      Medical School, which is on the functions of the faculty
12
      members at the Medical School. Faculty have two --
13
      Q.
          Excuse me.
14
               MR. WAKEFIELD: Objection, your Honor.
15
               MR. KOLMAN: This -- your Honor, this is not
16
      responsive.
17
               MR. WAKEFIELD: He answered the question.
18
               THE COURT: Stop. Stop right now.
19
               All right. See you guys in 20 minutes.
20
               THE CLERK: All rise.
21
               (At 10:27 a.m., the jury left the courtroom.)
22
               THE COURT: Doctor, let me just repeat something.
23
      you can't answer the question in the form that it's asked in,
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THE WITNESS: Okay.

just say so.

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THE COURT: Don't arque with counsel. If you can answer the question yes or no, please do so. Trust me, counsel will clean up anything that they think needs cleaning up. THE WITNESS: Okay. THE COURT: Okay? THE WITNESS: Thank you. THE COURT: All right. You can -- you can all be seated. You can step down, Doctor. Thank you. What did you all want to say about time, please? MR. KILROY: Yes, your Honor. When your Honor had asked about the time allotment that was expected during our final pretrial, I had given a true estimate of it was going to take us a week to put on our case. You know, at this point we're having to respond to their case, put on our case, the 13 hours that your Honor has allotted we will actually have to cut witnesses, I'm confident of that, that are necessary for the defense. THE COURT: What -- what happened between when we had this discussion and today that -- that's different? MR. KILROY: Your Honor -- what I'm offering, your Honor, is I had indicated we needed a full week, 20 hours. THE COURT: Uh-huh. MR. KILROY: And you've cut it to 13. THE COURT: Well, a full week is really seven -- let's see. A full week is 17 and a half hours.

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               MR. KILROY: And I would be pleased with 17 and a half
      hours, your Honor.
 2
 3
               THE COURT: Well, I cut both of you back 25 percent.
               MR. KILROY: Your Honor, I'm just offering, I think
 4
 5
      it's going to be very difficult to put on a full defense,
 6
      responding to their three experts as well as their witnesses
      and putting on our case, your Honor.
 7
               THE COURT: So what did I give -- how many did I give
 8
 9
      you?
10
               MR. KILROY: You gave us 13 hours, I believe, your
11
      Honor.
12
               THE COURT: So what are you asking for?
13
               MR. KILROY: Your Honor, I was asking for 19.
14
               THE COURT: It's like I feel like I'm bidding against
15
      myself every time I ask you, you go up.
16
               MR. KILROY: Your Honor, well, I said I would be happy
17
      if your Honor would -- would approve what you had suggested,
18
      but what I'm asking for would be 19 hours, your Honor.
19
               THE COURT: Well, I -- you know what, I've got to
20
      think about that. I mean, frankly, and I'm not being critical,
21
      you guys try your -- you know, I screwed up enough cases when I
22
      was trying them, so I'm not going to give anybody advice, but
23
      you've already gone 35 minutes on an opening that your brother
      went six on.
24
25
               So if I think that you're taking too much time -- let
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1
      me -- I've got to think about that.
               MR. KILROY: Thank you, your Honor.
 2
               THE COURT: The other thing I need you all to think
 3
      about is whether or not we can go a full day Wednesday. So
 4
 5
      give me your thoughts after the break, and then I'm going to
 6
      ask the jurors if they can go a full day.
 7
               MR. KOLMAN: Sure.
               THE COURT: And then the other date that I'd like to
 8
 9
      go a full day if we can -- Marty and I need to do some
10
      things -- is Thursday, the 15th. Give it some thought.
11
               And by the way, am I getting you two confused?
12
               MR. KILROY: Yes, your Honor.
13
               THE COURT: I'm sorry.
14
               MR. KILROY: I'm Kilroy.
15
               THE COURT: I'm sorry. I've got to get that.
                                                              I've
16
      got to put you guys on a -- don't change seats, okay.
17
               MR. KOLMAN: Your Honor, a full day is from 9:00 to
18
      1:00 and from 2:00 to 5:00; is that right?
19
               THE COURT: 4:00. 2:00 to 4:00.
20
               Okay. Thank you. See in you in 20.
21
               MR. KILROY: Thank you, your Honor.
22
               (Recess from 10:31 a.m. until 10:55 a.m.)
23
               THE CLERK: All rise.
24
               THE COURT: So did you guys say you were good to go
25
      all day Wednesday?
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1 MR. KILROY: We're fine to go all day, your Honor. THE COURT: You? 2 MR. COMENZO: I think it would be difficult, your 3 4 Honor. 5 THE COURT: Come up, please. We don't need it on the 6 record. THE CLERK: Court is now open. You may be seated. 7 THE COURT: Come up. Come up. 8 (Sidebar discussion off the record.) 9 10 THE COURT: So occasionally we get a break. We, as I 11 indicated, we go 9:00 to 1:00, and I'm sure Judge Hennessy 12 mentioned that to you. We have the afternoon for matters that 13 come up during trial and other important business that doesn't 14 wait for us. 15 We do have occasional afternoons where we have 16 openings, and we might have an opening this Wednesday to go all 17 day. Now, if -- we know -- we've asked you to commit to us 18 from 9:00 to 1:00; and if you can't go all day Wednesday, we 19 will understand, and that's fine, but we would like to give you 20 the opportunity. And again, we need to work out some things, 21 so I'm not saying it's -- it's a lot, but if you could during 22 your next break just think about that, and I'll ask if you can; 23 and if you can, great; and if you can't, great. 24 As an added incentive, free lunch. Who does not like

that? So I'll check back with you. And like I say, no

- 1 | pressure. If you can do it, great; and if you can't, great.
- 2 All right. Mr. Kolman, you're on board. Let's go.
- MR. KOLMAN: Yes, thank you.
- 4 BY MR. KOLMAN:
- 5 Q. When we broke, Dr. Rosen, we were talking about the
- 6 | faculty and your performance review and your interaction with
- 7 Dr. Desai. I want to continue with that.
- 8 You had previously testified that you are responsible
- 9 for the smooth running of the radiology department, correct?
- 10 A. Correct.
- 11 Q. And also for the continuing education of the faculty in
- 12 | the department, correct?
- 13 A. No. I'm sorry if I misspoke, but the faculty are
- 14 responsible for their own continuing education.
- 15 Q. I understand. Thank you for clarifying.
- 16 Your counsel made an issue of the fact that my client
- 17 | had not -- not attended continuing medical education or
- 18 published or attended conferences. That did not affect your
- decision to permit her to teach students, correct?
- 20 A. Dr. Desai was teaching at the -- what we used to call at
- 21 | the view box in the days before electronic -- the electronic
- 22 system, which is the PACS system. And as you can see from -- I
- 23 think in my comments, you know, Dr. Desai was -- was perfectly,
- 24 | you know, good at teaching the residents at, you know, at -- in
- 25 | the daily work --

- 1 Q. Okay.
- 2 A. -- for mostly chest x-rays.
- 3 Q. Okay. Thank you.
- 4 At no time in your capacity as a supervisor did you
- 5 ever write any memo telling her that she needed to do more
- 6 CMEs, correct?
- 7 A. Correct.
- 8 Q. And you did not, in your capacity as a supervisor, ever
- 9 say you need to publish some academic articles, correct?
- 10 A. Correct. Doctor --
- 11 Q. And also, you didn't tell her that she had to attend
- 12 conferences, correct?
- 13 A. Dr. Desai had met the CME --
- 14 Q. Excuse me. We're talking about your interaction. So I'm
- asking again that you never told her that she had to attend
- 16 conferences; is that correct?
- 17 A. Correct.
- 18 Q. And you didn't put her on a performance improvement plan
- 19 | that required her to do any of those things, correct?
- 20 A. Correct.
- 21 Q. And you didn't tell her that because she had trouble with
- 22 | emails, you would assist her in getting her some -- some help
- 23 or she could attend some course to make it easier for her,
- 24 correct?
- 25 A. I did communicate with her that she needed to communicate

- 1 by email, and I think there's actually an email exchange
- 2 between me and Dr. Desai --
- 3 Q. Okay.
- 4 A. -- to that effect.
- 5 Q. But my question was simply this. Because apparently,
- 6 according to your counsel, Dr. Desai had trouble with emails,
- 7 you never either -- you never acknowledged that she had trouble
- 8 | with emails such that she needed to attend a course to assist
- 9 her, correct?
- 10 A. That is not correct. There is an email exchange which I
- 11 | had sent Dr. Desai, which clearly states that she needs to be
- able to communicate by email; and if she had trouble with email
- or any of other electronic systems to let Dr. Dill, her section
- 14 chief, know and we would arrange appropriate IT or information
- 15 technology help for her.
- 16 Q. That's fine, but it appears that you didn't know that she
- 17 | had trouble with emails, because you just testified that had
- 18 you known that or had Dr. Dill known that, there would have
- 19 been some intervention.
- Do I understand your answer to be that?
- Or put another way, all -- all Dr. Desai had to do was
- 22 | to ask?
- 23 A. Yes, and I actually have an email to her telling her,
- 24 letting her know that if she does have problems with the IT
- 25 systems to please let us know.

- 1 Q. Okay. And she did not let you know, correct?
- 2 A. Correct.
- 3 Q. But these problems did not reach the point where you wrote
- 4 an email saying the problems that you have with emails are now
- 5 affecting your ability to do your job, nothing like that,
- 6 correct?
- 7 A. There is an email that I sent her summarizing a meeting
- 8 that we had in which I list that the way of communicating in
- 9 the department is by email; and if she was having trouble with
- 10 | emails, or other electronic systems, to please let us know and
- 11 | we would get her the training needed.
- 12 | Q. I understand. And we've been over that, and you answered
- 13 | that question, but if you wouldn't mind, I'd kind of like it if
- 14 | you would answer my question, which was: You never wrote her a
- 15 | memo or sent her an email saying that her alleged problems with
- 16 emails was affecting her ability to do her job; yes or no?
- 17 Your attorneys don't have the answer, but yes or no?
- 18 A. Not -- so, no, I never sent that --
- 19 Q. Okay.
- 20 A. -- email specifically.
- 21 Q. Thank you. And we heard from your counsel about the
- 22 | trouble with text messages; do you remember that?
- 23 And there was never any memo from you stating that the
- 24 trouble she had with text messages was such that it affected
- 25 | her ability to do her job. There's nothing like that from you

- 1 | either, is there?
- 2 A. No, there is not, you're correct.
- 3 Q. Right. And the fact that she was hostile or dismissive of
- 4 Dr. Dill allegedly and undermined Dr. Dill or yelled at
- 5 Dr. Dill, you -- you actually weren't the one to referee that,
- 6 as I recall; am I right?
- 7 A. The interactions were Dr. Desai was yelling at Dr. Dill,
- 8 I did not witness.
- 9 Q. Okay.
- 10 A. I did witness her yelling at another one of the faculty
- 11 members.
- 12 Q. Okay. That's really not the question though.
- Okay. I want to go back to the day of termination,
- 14 and we have there a letter, and it simply says --
- MR. KOLMAN: If we could just get that expanded a
- 16 little.
- 17 Thank you.
- 18 Q. It says, As Dr. Rosen has discussed with you, this letter
- 19 | serves as notice that your employment with UMass Memorial
- 20 | Medical Group and the University of Massachusetts Medical
- 21 | School will terminate on March 17, 2019.
- Now, your counsel alluded to the ability of Dr. Desai
- 23 to continue to be employed for another year pursuant to her
- 24 contract, correct?
- 25 A. Correct.

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      Ο.
          But it was notice of termination right then and there, but
      the notice -- there was no prior discussion is what I'm asking.
 2
      So it says, As Dr. Rosen has discussed with you, there was no
 3
      prior discussion.
 4
 5
               The discussion's actually on the day of termination,
 6
      correct?
         Yes, that is correct.
 7
      Α.
          If you could call it a discussion.
 8
      Q.
 9
               After that there was some correspondence between you
10
      and -- and Dr. Desai. I don't know if you recall it.
11
               MR. KOLMAN: One second. Let's put up then 97, which
      I'm asking to publish, which is an email string between you
12
13
      and -- and Dr. Desai.
14
               THE COURT: So hold up. Are you offering that or is
15
      it in?
16
               MR. KOLMAN: It's -- it's up on the screen.
17
               THE COURT: I know it's on the screen.
18
      evidence?
19
               MR. KOLMAN: I don't think there is an objection.
20
               THE COURT: So are you offering it?
21
               MR. KOLMAN: I am.
22
               MR. WAKEFIELD: No objection, your Honor.
23
               THE COURT: All right. So marked. And it's an email
24
      string.
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(Exhibit No. 97 was admitted into evidence.)

- 1 BY MR. KOLMAN:
- Q. At the time when you had this discussion with Dr. Desai,
- 3 | she was in your office, along with the chair, you didn't tell
- 4 her the reason for termination, correct?
- 5 A. If I remember correctly, I told her the reason for
- 6 termination was about the quality of her CT reads.
- 7 Q. Thank you. Thank you for reminding us. It was the
- 8 quality of her CT reads, and that was the reason for
- 9 termination, correct?
- 10 A. Yes.
- 11 Q. And you didn't say anything else. That was what you said,
- 12 | correct?
- 13 A. Well, I -- if I remember correctly, I also said that
- 14 | during the next year if she was -- when she was still with us
- during the year's notice that I wanted her to stop reading
- 16 chest CTs and to read only chest x-rays.
- 17 Q. Now, just a quick aside. X-rays can be quite complicated
- 18 to read, can't they?
- 19 A. Yes, they can.
- 20 Q. And, in fact, they can sometimes be a lot more complicated
- 21 than CT scans, correct?
- 22 A. That's a complicated question.
- 23 Q. I'm saying sometimes.
- 24 A. There's -- it's a -- it's a different skill set.
- 25 | Q. And part of the reason why it can be difficult to read

- 1 | x-rays is because the organs are all one on top of another, and
- 2 you have to find whatever --
- 3 A. Exactly. You're looking at a two-dimensional
- 4 representation --
- 5 Q. Right.
- 6 A. -- in this case of the chest as opposed to a
- 7 cross-sectional or three dimensional.
- 8 Q. So if -- if Dr. Desai could not read or was not permitted
- 9 to read CT scans, why would you imperil patients by permitting
- 10 her to review x-rays?
- 11 A. I didn't feel that patients were going to be imperiled by
- 12 her reading x-rays. In the era that Dr. Desai trained, x-rays
- were the vast majority of thoracic imaging, and CAT scanning
- 14 | was just becoming -- becoming to evolve; and over, you know,
- 15 | the ensuing three to four decades, the CAT scan technology, you
- 16 know, evolved immensely, and the x-ray technology really much
- 17 | stayed -- stayed the same.
- 18 Q. Did you tell her that the reason why she was permitted to
- 19 read x-rays and not CT scans was because CT scan technology had
- 20 evolved?
- 21 A. I would --
- 22 Q. Let me put it this way. You didn't tell her that CT
- 23 technology had evolved to such a degree that it affected her
- 24 ability to read CT scans, correct?
- 25 A. Any radiologist --

- 1 Q. Excuse me.
- THE COURT: Just yes or no, Doctor, please.
- 3 THE WITNESS: No, I did not tell her that.
- 4 BY MR. KOLMAN:
- 5 Q. Is it your testimony that because CT technology had
- 6 evolved that it appeared that Dr. Desai somehow could not
- 7 | continue to do her job with CT scans?
- 8 Was that even a factor?
- 9 A. The technology had evolved, and Dr. Desai had not kept up
- 10 with technology.
- 11 Q. Excuse me. Was -- is there a document that you ever wrote
- 12 to her?
- 13 A. No, there is not a document that I wrote to her to that
- 14 specific effect.
- 15 Q. So my question is this: If you know that she's not
- 16 keeping up with technology, and you are allegedly in charge of
- 17 | patient care and patient -- and ensuring that your doctors are
- 18 up to speed, because this is a tertiary hospital, and you know
- 19 | she's having a problem with emails, and you know she has a
- 20 | problem with text messages, why didn't you say something to
- 21 her?
- 22 A. It was very --
- 23 | O. But wait.
- 24 A. I'm sorry.
- 25 | Q. Why didn't you say something to her prior to her

- 1 termination, and by say, I mean put in writing that these are
- 2 issues of concern for you and for the hospital?
- 3 A. It was very difficult to have conversations like you
- 4 mentioned, like you outlined with Dr. Desai. She would often
- 5 | get very defensive and very angry.
- 6 Q. My question simply is this, Doctor, is why didn't you put
- 7 it in writing? You didn't have to confront her. You could put
- 8 | it in a memo. You're a scientist. You're an administrator.
- 9 You could write a memorandum to her, couldn't you?
- 10 A. I could have, yes.
- 11 Q. And you could have -- you could have interacted with HR
- 12 about these things as well, couldn't you, and they could have
- 13 advised you, right?
- 14 A. Yes.
- 15 Q. And, in fact, you didn't even have to write it. You could
- 16 have had an administrator write it to her pursuant to the
- 17 policies of the hospital, correct?
- 18 A. Yes.
- 19 Q. So it begs the question as to just how serious these
- 20 | issues were if there is no paper trail whatever that they ever
- 21 affected Dr. Desai's patient care?
- 22 THE COURT: Counsel, is that a question or a statement
- 23 | please? Let's have a question.
- MR. KOLMAN: Thank you, your Honor. You're right.
- 25 BY MR. KOLMAN:

Q. We're looking at this exhibit, and if you scroll down a little bit. Scroll down further. Right.

And this is where it starts, and I will read what it says. And that is from Dr. Desai. Dr. Rosen -- Dear Dr. Rosen, I'm writing regarding the meeting held on March 14, 2018, at noon. In this meeting, you provided me a written letter indicating that my employment at UMMHC would be terminated. You verbalized that this was due to my poor quality work. Can you please elaborate with evidence to substantiate your allegations.

Dr. Desai.

Did I read that correctly?

A. Yes, you did.

Q. And then you responded, I'm happy to set up a meeting for you, myself, and Dr. Baccei, our director for radiology QA, to review the results of the independent analysis that I had performed.

As you have asked previously and I am happy to support, you're welcome to invite a colleague to attend this meeting with you.

You will be able to review that data that was presented to me, but will not be able to take any hard copies of the reports.

Please contact Cindy to schedule if you still want to meet.

- 1 Sincerely, Max. Okay.
- 2 A. That is correct.
- 3 Q. And -- just leave that up, if you wouldn't mind.
- And there you say that it is the independent analysis
- 5 that you had performed, which had resulted in -- in Dr. Desai's
- 6 termination?
- 7 A. That was one of the factors that --
- 8 Q. But you don't mention any other factors. Obviously, it
- 9 | speaks for itself, but you don't mention any other factors in
- 10 this letter, correct?
- 11 A. Correct.
- 12 Q. Right. And the meeting that is being set up that's not a
- meeting to discuss trouble with emails, is it?
- 14 A. No, it is not.
- 15 Q. And it's not a meeting to discuss the problems with text
- 16 messages, correct?
- 17 A. Correct.
- 18 Q. Or indeed continuing medical education or publishing or
- 19 attending conferences, correct?
- 20 A. Correct.
- 21 Q. Or anything to do with her demeanor, hostile or otherwise,
- 22 | correct?
- 23 A. Correct.
- 24 Q. The issue of the independent analysis, that is what it
- 25 | appears as far as Dr. Desai is concerned you used to show that

- 1 | she was not competent, correct?
- 2 A. I don't know what Dr. Desai thought, but in this email I
- 3 just list the independent analysis, but there were many other
- 4 | things which went into my decision.
- 5 Q. Which you don't say anything about until now, correct?
- 6 A. I did not say that in this email.
- 7 Q. Thank you.

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And because you didn't say it, we don't know that it ever was a factor, do we?

Let me put it this way. You're a scientist. You're an administrator. You put things in writing. If you don't put it in writing, and you come into court to testify about all the other things that apparently affected your decision and it's not there, why on earth should the jury believe that any of those issues were a factor when you never ever said they were?

A. Well, I think there are several other documents which -- which detail complaints from other -- other providers and difficulties with Dr. Dill, concerns that Dr. Dill has had or had about Dr. Desai's performance. Those are not in this document here, but they do exist.

- Q. And I assure you that we will go over that together, okay.
- MR. KOLMAN: Why don't you go down again -- thank you very much by the way. Just go down.
- Q. Dr. Rosen, I accept the offer to meet with you to discuss the assertions you made regarding the quality of my work, both

in our meeting on March 14, 2018, and in your email below.

I also accept your offer to have a colleague accompany me. I am concerned, however, that anyone who works under you in the radiology department will be placed in an uncomfortable position and may be reticent to voice their true opinion out of fear of retaliation. Therefore, I would like to choose an independent, expert reviewer to accompany me to this meeting to ensure neutrality and avoid any potential bias favoring either of our positions on the information presented.

I look forward to speaking with you and hope to arrange a mutually convenient meeting date and time.

Dr. Desai.

Did I read that correctly?

A. Yes, you did.

Q. And why don't we see your answer. Just go down.

Dear Dr. Desai, I will be glad to meet with you, but will not permit an independent reviewer to attend our meeting.

You are welcome to bring a colleague from our department with you if you wish.

Please call Cindy to schedule a mutually convenient time for our meeting.

Max.

Now, what is the reason why you won't permit an independent reviewer to attend the meeting? Because you don't say here.

- A. That was at the advice of -- of my counsel.
- Q. Okay. We don't want to hear that. You are trending on
- 3 attorney-client privilege and either --
- 4 THE COURT: Counsel, let's have a question, please.
- 5 MR. KOLMAN: You didn't raise an objection so can he
- 6 have it?

- 7 THE COURT: Counsel. Counsel. Counsel.
- MR. KOLMAN: Yeah.
- 9 THE COURT: Let's have a question, please, to the
- 10 witness.
- 11 BY MR. KOLMAN:
- 12 Q. Okay. So it was the result of your -- of your counsel and
- 13 | that was that?
- 14 A. Counsel and also my own feeling that this was a matter
- 15 | internal within the department.
- 16 Q. Okay. Did your counsel say that bringing an --
- 17 MR. WAKEFIELD: Objection, your Honor.
- 18 MR. KOLMAN: I believe it's waived, Judge. I believe
- 19 he waived it, waived the attorney-client privilege.
- 20 THE COURT: So hold. Hold. All right. Plug in the
- amps.
- 22 You're going to need your whisper tech.
- MR. KOLMAN: I'm sorry. Oh.
- 24 (Sidebar as follows:)
- 25 THE COURT: Can you all hear me?

1 MR. WAKEFIELD: Yes. 2 MR. KOLMAN: Yes, your Honor. THE COURT: All right. Stay -- stay away from the 3 microphones when you speak, and speak softly so the jurors 4 5 don't hear you. Mr. Wakefield, what's the objection, please? 6 MR. WAKEFIELD: It's attorney-client privileged 7 8 communications, your Honor. 9 THE COURT: I can't hear you. Press the button. 10 MR. KOLMAN: I believe he waived it, your Honor. 11 had every opportunity to get up and say it's attorney-client 12 privilege. He did not do that. Now, I think it's open season. 13 THE COURT: Sustained. 14 (End of sidebar.) 15 THE COURT: So, ladies and gentlemen, back in the old 16 days before COVID, we used to have what we used to 17 euphemistically call sidebars where the lawyers would all 18 troupe up here, and Marianne would have to get up and make an 19 accurate stenographic record of what took place over there. 20 When my mom was on jury duty, she thought it was the 21 rudest thing in the world that we were up here talking about you guys outside of your earshot, which is sort of what we're 22 23 doing. 24 So probably the only good thing that I can think of that came out of COVID is we have these now virtual 25

- 1 | walkie-talkies, and we don't have to troupe all the way up
- 2 here. It saves us a lot of trouble, and you get to listen to
- 3 Eva Cassidy, who that just was. We have some Rolling Stones on
- 4 | tap for you, and the great Otis Redding. So I know you're not
- 5 rooting for sidebars, but at least the music will be good.
- 6 Okay?
- 7 All right. Mr. Kolman, go ahead, please.
- 8 MR. KOLMAN: Thank you, your Honor.
- 9 Why don't we move up just to the end.
- 10 Okay.
- 11 BY MR. KOLMAN:
- 12 Q. Just to move on fairly swiftly. There was a meeting,
- wasn't there, when Dr. Desai did -- did bring a colleague,
- 14 | correct?
- 15 A. Correct.
- 16 Q. And that was a radiologist from UMass, correct?
- 17 A. Correct.
- 18 Q. And at that time, you presented certain data to them,
- 19 | correct?
- 20 A. Correct.
- 21 Q. And you put that in the form, I believe, of a PowerPoint;
- 22 | am I right?
- 23 A. Correct.
- Q. And that PowerPoint was a consequence -- or rather derived
- 25 | from information of the independent study that we've heard

- 1 about, correct?
- 2 A. Correct.
- 3 Q. And it was another way of actually taking the information
- 4 from that independent study and converting it to a PowerPoint,
- 5 correct?
- 6 A. Correct.
- 7 Q. The actual documentation that gave rise to the PowerPoint,
- 8 you did not show that, did you, to Dr. Desai?
- 9 A. No, I did not.
- 10 Q. And that original information, that had certain CT scans
- on them obviously anonymous, the patient's name wasn't on, but
- 12 | the result that the person that you had hired to review,
- Dr. Litmanovich, had determined was either fine or hadn't been
- 14 | read properly; is that correct?
- 15 A. Yes, she -- I had asked her to say whether she agreed or
- 16 disagreed. If she disagreed whether it was a minor
- 17 disagreement or a major disagreement --
- 18 Q. Right.
- 19 A. -- and whether or not she felt that there was an impact on
- 20 patient care.
- 21 Q. And we're going to go over this in more detail, but I just
- 22 | want to touch on it. This so-called independent review, this
- 23 | was done by Doctor -- I think it's Diana Litmanovich; am I
- 24 right?
- 25 A. Correct. I think she pronounces it Litmanovich, but --

- 1 Q. And you were with her at Beth Deaconess, Beth Israel
- 2 Deaconess, correct?
- 3 A. Yes, we worked in the same department for several years.
- 4 Q. And that was for some four years, correct?
- 5 A. I don't recall exactly how many years we overlapped there,
- 6 but it was for several years.
- 7 Q. And so you knew who she was, and you gave her a call, but
- 8 she could hardly be independent if -- if you knew her, could
- 9 she?
- 10 A. I felt that she could.
- 11 Q. Okay. But you had done another independent study, hadn't
- 12 you, in 2012?
- I think it had involved Dr. Garrell; am I correct?
- 14 A. I'm sorry. Could you repeat.
- 15 Q. You had done another independent review for another
- 16 | physician. I think it was Dr. Garrell. It was after you came
- 17 in 2012.
- 18 Do you recall that?
- 19 A. I re- -- Dr. Garrell, yes, worked in the department.
- 20 Q. And that was another similar, presumably independent
- 21 | review where Dr. Garrell's CT scans were reviewed by someone
- 22 independent, correct?
- 23 A. No, actually, it was a different situation.
- Q. Well, I'm asking about the independent review, because in
- 25 | your deposition you said you couldn't recall the details of how

- 1 that independent review was conducted.
- 2 Am I misremembering how you answered that question in
- 3 your deposition?
- 4 A. I'm happy to explain.
- 5 Q. Okay. And you can explain, but let me ask you this
- 6 question. Are you suggesting that the independent review done
- 7 for Dr. Garrell was a different review than the one done for
- 8 Dr. Desai?
- 9 A. Yes.
- 10 Q. And with respect to the review for Dr. Garrell, was the
- 11 purpose of the review to determine whether Dr. Garrell was
- 12 | competent in -- in some aspect of his work, reading CT scans,
- reading x-rays, or something else?
- 14 A. Yes, that's correct.
- 15 | Q. And you knew Dr. Litmanovich at the time.
- 16 How come you didn't call her in?
- 17 A. I'm happy to explain the difference, if --
- 18 Q. Well, my question is how come you didn't call her in, and
- 19 you can answer that question.
- 20 A. It was a very different situation.
- 21 Q. Tell me how it was different.
- 22 | A. The review for Dr. Garrell was not initiated by me. It
- 23 | was initiated out of concerns from the -- the medical staff
- 24 | credentialing office, and when he came up for his annual or,
- 25 you know, every-other-year appointment, the medical staff

review committee when they saw how many malpractice cases that

he had was concerned about recredentialing him. So it was a

concern raised by the medical staff services not by me.

And at that point the medical staff took over, the medical staff office took over the investigation and had a process where they and Dr. Garrell and his attorneys agreed upon a process for him to be evaluated.

- Q. And they -- and you were aware of this, correct?
- 9 A. Yes.

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- 10 Q. And Dr. Garrell was a radiologist?
- 11 A. Yes, he still is.
- 12 Q. I'm sure he is. He -- he was a radiologist at that time,
- 13 | but you -- although you never initiated that specific
- 14 | investigation, you knew the way it was done, correct?
- 15 A. No, actually, I did not. It was conducted by an outside
- 16 entity that I had no knowledge of what their process was.
- Q. They used some -- you don't know what the -- what the process was, and they used someone outside.
  - So my question is: Why was it necessary for you to create a process when one had been done in 2012?
  - Isn't it true that all you had to do was look up the way it was done or ask someone the way it was done and follow that?
  - A. The process for Dr. Garrell was, if I remember correctly, outsourced to another entity which did the evaluation of him.

- 1 | Q. And that would -- that was fair, wasn't it?
- 2 It was outsourced to another entity that was unrelated
- 3 in any way to any connection with UMass, correct, or you?
- 4 A. Correct.
- 5 Q. So why didn't you do that?
- That would have been the fair thing, wouldn't it?
- 7 A. It was certainly fair for Dr. Garrell, and I felt that I
- 8 | was being very fair to Dr. Desai. I could have just relied on
- 9 reviews internally from Dr. Dill or I could have brought
- 10 | somebody in from the outside and told them what they were --
- 11 | what they -- what they were doing or who they were evaluating.
- 12 Instead, I found somebody from outside the
- institution, who had no idea what they were -- why they were
- 14 | doing what they were doing or who was being evaluated in a
- 15 completely deidentified way.
- 16 Q. Well, let's talk about fairness for a minute. You have a
- 17 | long résumé and you've written a lot of learned articles,
- 18 correct?
- 19 A. I hope so.
- 20 Q. Yes. And you've also done research, correct?
- 21 A. Correct.
- 22 | O. So we've heard terms like blind and double-blind and
- 23 | everything else, but you created the actual way in which this
- 24 | so-called independent test would be done, correct?
- 25 You were the one who put it together?

- 1 A. Yes, I decided that we would pull 25 CTs.
- Q. And we're going to do that right now. You decided that 25
- of Dr. Desai's CT scans was going to be put against 25 random
- 4 CT scans taken from five different radiologists, correct?
- 5 A. Not exactly. I did not specify the number of radiologists
- 6 that it would be taken from.
- 7 Q. If I tell you it was five, I think you'll believe me,
- 8 correct?
- 9 A. Okay. If you say it's five, I have no reason to not
- 10 believe you.
- 11 Q. So, Dr. Rosen, how could it possibly be fair. You -- in
- 12 order to have a fair comparison, isn't it true that you would
- need 25 of Dr. Desai's, 25 of -- of A, B, C, D, E doctors, and
- 14 then determine what percentage of those scans are misread?
- That would have been the right way to do it; isn't
- 16 | that correct?
- 17 A. Actually, I thought I was being even more fair to
- 18 Dr. Desai.
- 19 O. Excuse me.
- THE COURT: Mr. Kolman, let him finish.
- 21 MR. KOLMAN: That would have been the right way to do
- 22 | it.
- THE COURT: No. No. Whoa. Whoa. Don't arque
- 24 to me.
- MR. KOLMAN: Okay.

1 THE COURT: And don't make statements. 2 MR. KOLMAN: Okay, your Honor. 3 THE COURT: I want you to ask questions and not make statements. Let him finish his answer. You went on with your 4 5 question for five minutes, so let him answer. 6 MR. KOLMAN: Sure. THE COURT: Go ahead and finish your answer, Doctor. 7 THE WITNESS: I thought that I was actually being very 8 9 fair to Dr. Desai. The other two chest radiologists in the 10 department at the time were Dr. Dill and Dr. Eric Schmidlin, 11 and I could have compared 25 of Dr. Desai's CT reads to 25 of 12 Dr. Dill and -- and/or 25 of Dr. Schmidlin. I thought that was 13 holding her to a higher standard, because I knew the quality of 14 the reads of Dr. Dill and Dr. Schmidlin. 15 So instead, I wanted to compare Dr. Desai to just a 16 general group of 25 people who were reading chest CTs, which in 17 my mind and my intent was the lower -- a lower common 18 denominator. 19 BY MR. KOLMAN: 20 Isn't it true that if you take two reads from Doctor A and 21 four reads from Doctor B and five reads from Doctor C and 22 another three, and whatever it takes to make 25, that the one 23 with two has far less chance of having a misread, because the 24 scope of your review is not 25, it's only two?

Would you agree with me?

- 1 A. No, actually, I would disagree that I was comparing the
- 2 | body of 25 of Dr. Desai's to 25 of another group. I wasn't
- 3 | comparing one to one. I was comparing 25 to 25.
- 4 Q. There is a doctor who was -- who constitutes one of the
- 5 A through E doctors that I just mentioned. One of his reviews
- 6 | was troublesome, but the other wasn't. That means he had a
- 7 | 50 percent failure rate.
- 8 THE COURT: Counsel, don't make statements. Ask a
- 9 question.
- MR. KOLMAN: I'm sorry.
- 11 BY MR. KOLMAN:
- 12 Q. Doesn't that mean he had a 50 percent failure rate?
- 13 A. For those two isolated cases, technically, yes, you are
- 14 | correct, but --
- 15 | O. And were the others --
- 16 A. But I was -- again, I was comparing the 25 against the 25.
- 17 Q. So let -- let me -- I'm going to move on, but let me be
- 18 | clear I understand. So it's your testimony that to take 25 of
- 19 Dr. Desai's reads and compare them to four of this one, five of
- 20 this one, three of that one, whatever it takes of these various
- 21 doctors to make 25, you say that's a fair comparison in your
- 22 | mind; is that correct?
- 23 A. Yes, and just to, if I can clarify.
- 24 Q. All right. I see.
- 25 | A. I did not parse those down into those individual

- 1 | radiologists. I compared her to the entire group. And again,
- 2 | I chose to compare it to a group of -- or more of a general
- 3 group of people reading chest CTs than comparing her to
- 4 Dr. Dill and/or Dr. Schmidlin.
- 5 Q. Do you understand my question about the fact that it is
- 6 unfair?
- 7 A. I understand your question. I don't agree with you though
- 8 | with all due respect.
- 9 Q. Good. You had alluded to complaints about Dr. Desai, and
- 10 | I want to go into that right now, and it was, was it not, a
- 11 result of these alleged complaints that you decided to do an
- 12 independent review; is that correct?
- 13 A. Yes, that is correct.
- 14 Q. Do you recall when these apparent complaints occurred?
- 15 A. Soon after Dr. Dill arrived at -- at UMass. I think she
- 16 | started in, if I'm correct, around February or March of 2016.
- 17 | It became -- Dr. Dill started to become aware of -- of issues
- 18 | with Dr. Desai's reads, because other clinicians, other, you
- 19 know, other doctors in the hospital had started to come to
- 20 | Dr. Dill and say, you know, could you please -- could you
- 21 | please reread this study. I have some questions.
- 22 And then --
- 23 Q. Now, you state, do you not, that it was Dr. Robinson who
- 24 made complaints; is that correct?
- 25 A. Yes, I was starting back with --

- 1 Q. Is that correct?
- 2 A. Yes.
- 3 Q. Okay. And apparently Dr. Robinson -- it's true that
- 4 Dr. Robinson complained about a number of physicians, correct?
- 5 A. Correct.
- 6 Q. And not just Dr. Desai. And that Dr. Robinson is actually
- 7 | a pulmonologist; is that correct?
- 8 A. That is correct.
- 9 Q. She's not a radiologist, correct?
- 10 A. She's a pulmonologist.
- 11 Q. Now, when she complained, you would substantiate the
- 12 | complaint; is that correct?
- 13 A. Well, I would investigate the complaint.
- 14 Q. You would investigate it. And so you took your complaints
- 15 | seriously and then investigated them?
- 16 A. Yes, as I would for anybody who brought me an issue.
- 17 Q. And so with respect to Dr. Desai, where are your
- 18 investigations of the alleged complaints made by Dr. Robinson?
- 19 A. The complaints from Dr. Robinson, I think it was a meeting
- 20 | in January of 2017 is what prompted me to conduct the
- 21 independent review.
- 22 So my action in response to Dr. Robinson's complaints
- 23 was to undertake the independent review.
- 24 Q. I'm sorry. Did you hear my question?
- 25 A. If you -- please repeat it.

- 1 Q. Sure. So my question is: Where are the investigations by
- 2 you into the complaints by Dr. Robinson about Dr. Desai given
- 3 your prior testimony?
- 4 A. The -- my action was to initiate the independent review.
- 5 Q. I'm sorry. Could you speak up a bit?
- 6 A. I'm sorry. My -- my response to Dr. Robinson's complaints
- 7 in January of 2017 was to initiate the independent review.
- 8 Q. Okay. So do I understand that you -- that there is no
- 9 document from you indicating you did an independent review of
- 10 Dr. Robinson's complaints about Dr. Desai in January of 2017,
- 11 correct?
- 12 A. If I'm interpreting you correctly, and if I'm not, please,
- 13 I'm sorry. I did not conduct an independent review of any
- 14 cases specific to concerns that Dr. Robinson had. Rather, I
- 15 took Dr. Robinson's complaints as the -- to initiate the
- 16 independent review that Dr. Litmanovich did.
- 17 Q. So as I understand it, in January -- and you'll correct me
- 18 | if I'm wrong. In January of 2017, Dr. Robinson makes certain
- 19 | complaints about certain of Dr. Desai's readings, and you don't
- 20 investigate those, but take Dr. Robinson at her word; is that
- 21 right?
- 22 A. Not exactly. Dr. Robinson, I don't think, ever gave me
- 23 | specific cases. She never said it was patient X, Y, Z on this
- 24 date. Rather, it was a general -- I think she actually said to
- 25 | me I don't believe Dr. Desai's reads.

- 1 Q. But as you prior -- as you previously testified, she did
- 2 that with a number of doctors, but that never led to an
- 3 | independent review by you, did it?
- 4 A. It did not lead to an independent review, but it did lead
- 5 me to change some other practices in the department.
- 6 Q. Okay. So sitting here today, you don't have the names of
- 7 | the patients Dr. Robinson was referring to -- I'm sorry. In
- 8 January of 2017, you did not have the names of the patients
- 9 that Dr. Robinson was referring to, correct?
- 10 A. Correct.
- 11 Q. And you did not have the CT scans that Dr. Robinson was
- 12 referring to, correct?
- 13 A. Correct, I was responding to -- sorry, I was responding to
- 14 | more of a general complaint from -- from a doctor.
- 15 | Q. So Dr. Robinson was generally, according to you,
- 16 | complaining about Dr. Desai. You previously testified that you
- 17 | would investigate these complaints, but in this case you didn't
- 18 investigate them, did you?
- 19 You just took the so-called concerns, general
- 20 concerns, of Dr. Robinson, correct?
- 21 A. I took Dr. Robinson's specific concerns about Dr. Desai's
- 22 | interpretation mostly of CT scans in conjunction with other
- 23 | information that I had and concerns that I had from Dr. Desai
- 24 and felt those two pieces of information warranted conducting
- 25 the independent review.

- 1 Q. Okay. So that is different from your prior testimony.
- 2 You are now adding certain things. I thought your testimony
- 3 was, I don't argue this, I just want clarify it.
- What's your testimony --
- 5 MR. WAKEFIELD: Objection, your Honor.
- 6 THE COURT: Yeah, let's have a question not a
- 7 statement.
- 8 BY MR. KOLMAN:
- 9 Q. Was your -- was -- well, let me put this this way. You
- 10 | are a scientist. You -- it's true that you never noted down
- 11 | the CT scans, correct?
- 12 A. Which CT scans?
- 13 O. The ones that Robinson was concerned about?
- 14 A. Correct.
- 15 Q. You never sent them for any review, correct?
- 16 A. Correct. She never gave me the patient's name or anything
- 17 | for --
- 18 Q. And you never asked for the CT scans, correct?
- 19 A. Correct.
- 20 Q. Despite the fact that you're head of radiology, and
- 21 Dr. Desai is working for you, and quality is important to you,
- 22 | correct?
- 23 A. Correct, but without --
- 24 Q. You took -- isn't it true that you took Dr. Robinson at
- 25 | her word about the unreliability of Dr. Desai and never

- 1 | actually looked at the raw data, correct?
- 2 A. No, I would disagree. I took her general concern and then
- 3 translated that into a specific evaluat- -- independent
- 4 evaluation. If she had given me specific cases, I would have
- 5 reviewed those cases, as I do all the time; but without the
- 6 specific cases and her ongoing concern combined with the
- 7 | concerns that Dr. Dill as the section chief had raised, I felt
- 8 | that both of those things warranted the independent evaluation.
- 9 Q. You could have asked for the CT scans, couldn't you?
- 10 A. If Dr. Robinson was able to provide them, I would have
- 11 been -- I would have reviewed those specific cases.
- 12 Q. You never asked for them, did you?
- 13 A. I never asked Dr. Robinson. There were cases and times I
- 14 think that Dr. Robinson would call Dr. Dill and ask her to
- 15 review cases.
- 16 Q. You never asked for them in this case?
- 17 A. Correct, I never asked for them in this case.
- 18 | Q. The independent review that we previously talked about,
- 19 | that's the same independent review that you thought about in
- 20 January of 2017; is that right?
- 21 A. Correct.
- MR. KOLMAN: Let's put up Exhibit 3.
- 23 | THE COURT: Wait a minute. Is it --
- MR. KOLMAN: Exhibit 3 is the affidavit.
- THE COURT: Is it in evidence?

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1
               MR. KOLMAN: It's not, your Honor.
               THE COURT: Are you offering it?
 2
               MR. KOLMAN: I'd like to move Exhibit 3 into evidence.
 3
      It's the affidavit of Max Rosen, M.D.
 4
 5
               THE COURT: Mr. Wakefield.
 6
               MR. WAKEFIELD: I have an objection, your Honor.
               THE COURT: All right. Plug in, please.
 7
               (Sidebar as follows:)
 8
 9
               THE COURT: Can you all hear me?
10
               MR. WAKEFIELD: Yes, your Honor.
11
               THE COURT: What -- what are you offering, Mr. Kolman?
12
               MR. KOLMAN: Your Honor, I'm offering the affidavit of
      Dr. Desai that was -- I'm sorry, Judge -- Dr. Rosen that was
13
14
      produced in evidence and --
15
               THE COURT: What purpose, please?
16
               MR. KOLMAN: It's a sworn statement about the facts of
17
      this case.
18
               THE COURT: Hold up.
19
               What's the objection?
20
               MR. WAKEFIELD: Your Honor, that's hearsay, and
21
      Dr. Rosen is here today to testify about the facts of this
22
      case, and the prior affidavit is not appropriate as an exhibit.
23
               THE COURT: Isn't it a declaration of a party
24
      opponent?
25
               MR. WAKEFIELD: Well, the affidavit is a dozen pages
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- long, and I don't know what, if any, limited portions he's 1 offering. I -- I have to go through the affidavit line by 2 line, and a lot of it is not relevant to the claims that remain 3 4 in the case. 5 THE COURT: How much are you offering? 6 MR. KOLMAN: Just a small amount, your Honor, to deal with just this matter that currently I'm questioning the 7 8 witness on. 9 THE COURT: So are you offering it into evidence or 10 are you -- are you showing it to the witness to impeach him or 11 for an admission de bene esse? 12 MR. KOLMAN: I'm showing it to the witness for the 13 admission of what he said under oath. 14 THE COURT: All right. Overruled. 15 (End of sidebar.) 16 (Exhibit No. 3 was admitted into evidence.) 17 BY MR. KOLMAN: Do you recall, Dr. Rosen, swearing an affidavit on the 18 Ο. 19 15th day of December 2021? 20 Α. Yes. 21 And you understood then that you were signing the content 22 under the penalty of perjury, correct? 23 Α. Yes.

25

Q. I want to bring your attention to page 10 and specifically paragraphs 54 through 58.

And do you mind if I read it?

A. Please.

- Q. At this meeting, Dr. Robinson expressed serious concerns with the quality of CT reads performed by Dr. Desai.
- Dr. Robinson stated to me she never believed Dr. Desai's reports and could not rely on them.

In response, I agreed that I would conduct a focused review of Dr. Desai's CT reads. I believed that I had to address the concerns raised to me in the interests of patient safety and the department's obligations to provide high-quality services to patients and providers.

To ensure fairness and to confirm that the quality concerns were justified prior to taking further action, I adopted to have an independent blinded review of Dr. Desai's CT reads.

I did not choose to arrange an independent review of Dr. Desai's reads based on isolated concerns regarding a read or a request to review a study read by Dr. Desai. I did not make the decision to do so based on one or two misreads.

I made the decision to perform an independent review based on reports of quality concerns from Dr. Dill, my awareness of errors in the peer review system, and complaints from Dr. Robinson, in particular her comments at the January 31st, 2017, meeting.

Did I -- did I read that correctly?

- A. Yes, you read it correctly.
- 2 Q. And the concerns of Dr. Robinson and possibly later the
- 3 concerns of Dr. Dill, they were sufficiently concerning to you
- 4 apparently to do an independent review of the CT scans; is that
- 5 right?

- 6 A. Yes, that's correct.
- 7 Q. And they were of concern to you, because as you previously
- 8 testified, patient safety is a priority, correct?
- 9 A. Correct.
- 10 Q. And it would be dangerous, would it not, to have a doctor
- 11 | treating patients who could not properly read CT scans, would
- 12 | that be correct?
- 13 A. Correct.
- 14 Q. And that would be something that you would want to take
- 15 | care of promptly, correct?
- 16 A. Correct.
- 17 | Q. So how is it that you waited until December of that year
- 18 to get the results of the so-called independent review?
- 19 A. So I initiated or I requested that the images, the 50
- 20 random images, be pulled and deidentified.
- 21 Q. Sorry, I can't hear.
- 22 | A. I'm sorry. I'm sorry. I had requested after that meeting
- 23 | in January, so probably in February, to have the 50 cases, you
- 24 know, pulled from the file room, the images deidentified and
- 25 the records deidentified.

I think probably around mid-March, I received the data set of the images and the -- and the reports.

And then very frankly, I should have contacted a reviewer sooner. I -- it took me about five months to contact Dr. Litmanovich, which I did, I think, in probably mid-August, so before the end of the summer. And then once I then had a contract with Dr. Litmanovich, she said she would be unable to conduct the review until after the RSNA, the Radiology Society of North America meeting, which happens the week after Thanksgiving, and then she got the results to me in December.

So, you know, in hindsight I wish I had contacted Dr. Litmanovich sooner, but I didn't.

- Q. So for 12 months quite possibly according to you patient care could have been compromised by Dr. Desai; is that correct?
- 15 A. It's possible.

Q. You previously testified that this was very important, and patient care is very important.

Isn't it true that you could have gotten an independent reviewer in January of 2017, if it was so significant and impacted patient care so profoundly?

- A. I think the earliest that I could have gotten an independent review would be mid or late March, because that -- that was the time that I had the -- the scans pulled and the reports pulled.
- 25 Q. So you could have got the independent reviewer in March.

- 1 Let's say that. And could you have told that -- that
- 2 | independent reviewer that this matter was critical, could you
- 3 not?
- 4 A. I could have.
- 5 Q. And you could have told that independent reviewer that it
- 6 was critical because you felt that there was a doctor who could
- 7 | not properly read CT scans and that could impact patient care,
- 8 correct?
- 9 A. I could have. We also --
- 10 Q. And --
- 11 A. We also have other QA systems in place. So this is not
- 12 the only QA system.
- But as I said, I wish I had done -- I wish I had
- 14 initiated the independent review sooner.
- 15 | Q. Why didn't you then?
- MR. WAKEFIELD: Objection, your Honor.
- 17 THE COURT: Overruled. You may answer.
- 18 THE WITNESS: You know, I had a lot of things going
- on, was busy, was thinking about who the best person to do the
- 20 | independent review would be, and time went -- time went fast,
- 21 and as I said, I wished I had done it sooner.
- 22 BY MR. KOLMAN:
- 23 Q. Isn't it true that you weren't really concerned about
- 24 Dr. Desai's reads at all, which is why this was not a priority
- 25 for you?

- 1 A. No, that -- actually, I was concerned and Dr. Dill, you
- 2 know, I think over this time was continually getting requests
- 3 to reread studies that Dr. Desai had -- had interpreted.
- 4 Q. Isn't it true that when you got the results of this
- 5 independent review, you permitted my client to continue to read
- 6 CT scans until March 14th, the day of her termination; isn't
- 7 | that true?
- 8 A. Yes, that is correct.
- 9 Q. So now you actually have the results of the so-called
- 10 | independent review, and you continue to allow this alleged
- 11 incompetent radiologist to read CT scans.
- 12 What's the reason for the delay this time?
- 13 A. I had discussed with HR and with legal, internal, you
- 14 | know, about next steps, and they've compiled the data. I
- 15 looked at the data. I double checked the data to make sure
- 16 | that it was -- that no mistakes, you know, had been made in
- 17 | assigning the blinded reviews, you know, to the correct group.
- 18 Q. Is this another case where you wished you had done it
- 19 sooner?
- 20 A. In retrospect, yes. But I received the results from
- 21 Dr. Litmanovich, I think, around Christmastime; and over
- January and February, you know, worked with HR and legal about
- 23 what the appropriate next steps would be.
- Q. But you're the doctor, Dr. Rosen, and you could have told
- 25 | legal and HR that this is critical to the health of your

- patients, correct?
- 2 A. I could have.
- 3 Q. So given the delay in getting the independent review done,
- 4 and given the delay of imposing the results of that independent
- 5 review on Dr. Desai and given that Dr. Desai is the whole time
- 6 reading CT scans for a period of 16 months, how significant
- 7 really was your concern about Dr. Desai if this is what you
- 8 did?

- 9 A. I had real concerns. I relied on Dr. Dill as the section
- 10 | chief to continuously monitor, you know, the quality of the
- 11 section, and we also have a peer review process in place
- 12 | to -- to identify, you know, ongoing issues. And when I met
- 13 with -- with Dr. Desai, I think in June or July of the summer
- 14 of -- of '17, I, as part of everybody's annual meeting, I give
- 15 | them feedback on their quality, any kind of cases which have
- 16 been flagged in our QA database.
- 17 The QA database scores cases from one to four, one
- 18 being the -- the second reviewer agreed; two, there was a minor
- 19 disagreement; three, a potentially serious disagreement; and
- 20 four, a likely serious disagreement.
- 21 And so when I met with Dr. Desai in -- in June or
- July, you know, of '17, I provided Dr. Desai with the feedback
- 23 of all of the cases I think that were ranked four and asked her
- 24 to go back and look at these cases and see if she agreed
- 25 | with -- with the second reviewer and if -- and if not to sort

- 1 of try to understand why.
- 2 Q. Dr. Rosen, what you just testified to, that's not in
- 3 writing anywhere, is it?
- 4 A. The --
- 5 Q. Your statement that you told her to go back and review all
- 6 of the CT scans that's nowhere in writing, is it?
- 7 A. It would not be part of the annual review, because the
- 8 annual review is from a Medical School form that doesn't relate
- 9 | to clinical information, but I did give Dr. Desai her threes
- 10 and fours and did ask her to go back and review those, and I
- 11 think Dr. Desai actually had a copy of those threes and fours
- 12 in that report in her office.
- MR. KOLMAN: Let's look, if we could, and I'm moving
- 14 | this into evidence, your Honor. It's a response. It's a first
- 15 MCAD.
- 16 THE COURT: What's the -- what's the exhibit number,
- 17 | please?
- 18 MR. KOLMAN: 54.
- 19 THE COURT: Let me just check with Mr. Wakefield.
- Is there any objection?
- 21 MR. WAKEFIELD: Yes, your Honor, I object.
- 22 THE COURT: All right. We're going to take the second
- 23 | break here. We'll see you back in about 10 minutes.
- 24 THE CLERK: All rise.
- 25 (At 11:58 a.m., the jury left the courtroom.)

1 THE COURT: What's the document, please, Mr. Kolman? MR. KOLMAN: The document is the first --2 3 THE COURT: You can step down, Doctor. 4 THE WITNESS: Oh, thank you. 5 MR. KOLMAN: The first MCAD response to the -- it's an 6 initial position statement of UMass Memorial and the other defendants at the time to the allegations by the petitioner. 7 THE COURT: Okay. Hold up. 8 9 MR. KOLMAN: And --10 THE COURT: So hold up. 11 MR. KOLMAN: -- there's only one other thing that I 12 wanted to add, your Honor. 13 THE COURT: Go ahead, please. 14 MR. KOLMAN: And it's simply signed under oath by 15 Dr. Rosen. So it's for that purpose that I wish to enter it, 16 the fact that he signed it and there are statements in here 17 which are relevant. 18 THE COURT: And what's the objection, please? 19 MR. WAKEFIELD: Your Honor, this is from three years 20 ago, the Massachusetts Commission Against Discrimination 21 position statement, that concerns a variety of claims that most 22 are not involved in the case any longer, you know, claims of 23 age -- gender discrimination, disability discrimination. 24 has all kinds of irrelevant -- completely irrelevant 25 information that cannot be parsed through. It was written in a

```
1
      completely different context. Even on behalf of parties that
      are no longer parties to this action. Dr. Rosen is here to
 2
      testify today.
 3
 4
               THE COURT: Okay. Stop.
 5
               MR. KOLMAN: I'm not going to use any of that, your
 6
      Honor.
               THE COURT: So --
 7
               MR. KOLMAN: I just --
 8
 9
               THE COURT: Point me in the document, please, to what
10
      you're going to use.
11
               MR. KOLMAN: Yes, of course. Can you plug it. It's
12
      up. So go to 18, paragraph 18, and go through to 22.
13
               MR. COMENZO: You got a page number?
14
               (Plaintiff counsel conferred.)
               MR. KOLMAN: No, it's paragraph. Page 5.
15
16
               THE COURT: All right. Hold up. So you're offering
17
      18 to 22?
18
               MR. KOLMAN: Yes. Yes, your Honor.
19
               MR. WAKEFIELD: Can you scroll it down slightly. I
20
      can't see the bottom of 22. Please.
21
               MR. KOLMAN: Well, actually, I'm -- I'm only offering
22
      18 through 19. Actually, through 20, and that's it.
23
               THE COURT: So you're offering paragraphs 18, 19 --
24
               MR. KOLMAN: 18 through 20, and that's it. Oh, and --
25
      and 28, but I think we've been over that. I -- 28 is
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1
      redundant. That's just redundant. I'm not going to use that.
               THE COURT: Can you go back up to where we were,
 2
 3
      please.
               All right. Hold up. So 18, 19, and 20?
 4
 5
               MR. KOLMAN: Yes, your Honor, and there's a sworn --
 6
               THE COURT: Let me just -- let me read them first.
               MR. KOLMAN: I'm sorry, your Honor.
 7
               THE COURT: Just because I'm not talking doesn't mean
 8
 9
      you need to fill the air.
10
               MR. KOLMAN: I know.
11
               (Pause.)
               THE COURT: And what's your objection to that,
12
13
      Mr. Wakefield, those three graphs?
14
               MR. WAKEFIELD: I don't -- for paragraphs 18, 19, and
15
      20, I don't have any objection to the admissions of those.
16
               I would note just due to your Honor's own concerns,
      the reference to independent expert. We were going to make
17
18
      efforts pursuant to the motion to exclude Dr. Litmanovich to
19
      never refer to her as an expert to the -- to the jury, and so I
20
      just wanted to bring that up to your Honor. I don't have an
21
      objection otherwise.
22
               THE COURT: Yeah, okay. All right. So I will let you
23
      use those.
24
               MR. KOLMAN: Thank you, Judge.
25
               THE COURT: Counsel, I apologize. I forgot your name.
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1
               MR. COMENZO: Attorney Comenzo, your Honor.
               THE COURT: So can you check and let me know whether
 2
      you can -- are you the lawyer who is going to be examining
 3
 4
      Dr. Desai?
 5
               MR. COMENZO: Dr. Desai, no.
 6
               THE COURT: So why can't you guys do on Wednesday
 7
      again?
 8
               MR. COMENZO: Because I do this part.
 9
               THE COURT: Oh, I see. All right. You can check and
10
      let me know whether you can or can't before we come back?
11
               MR. COMENZO: Yes.
12
               THE COURT: Thank you.
13
               How are you doing on time? Are you on schedule or --
14
               MR. KOLMAN: We are moving -- moving along well.
               THE COURT: Does that mean ahead or behind or --
15
16
               MR. KOLMAN: I'm ahead. I'm pretty much ahead of
17
     myself.
18
               THE COURT: That's the spirit. That's what I want to
19
      hear. All right. Thank you.
20
               MR. KILROY: Your Honor, just a question, your Honor.
21
               THE COURT: Yeah.
22
               MR. KILROY: Just in terms of logistics. So you
23
      will -- this will all be redacted so there'S only those three
24
      these paragraphs that go in?
25
               MR. KOLMAN: Yes, except his signature at the end.
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1
               THE COURT: Yeah, you guys are going to have to work
      out the redaction off line.
 2
               MR. KILROY: Thank you.
 3
               THE COURT: That would be -- what was that number, 54?
 4
 5
               MR. KOLMAN: 54, Judge.
 6
               (Counsel conferred.)
               (Recess from 12:03 p.m. until 12:21 p.m.)
 7
               THE CLERK: All rise.
 8
 9
               MR. COMENZO: Thank you, your Honor.
10
               The issue that I have with my wife is a childcare
11
      issue that I told her I was available at a particular time
12
      during the day. She's working right now, and she has gotten
13
      back to me. She is pushing back. I don't know that she has
14
      ever experienced your Honor in a black robe pushing back at
15
      her.
16
               THE COURT: Don't tell her where I live, okay.
17
               MR. COMENZO: I am merely a powerless husband. If
18
      your Honor instructs me to push harder, I will.
19
               THE COURT: So it's -- it's a no?
20
               MR. COMENZO: It's a childcare issue for me at this
21
      point, yeah.
22
               THE COURT: So you can't?
23
               MR. COMENZO: I cannot.
24
               THE COURT: Okay.
25
               MR. COMENZO: Thank you.
```

1 (At 12:22 p.m., the jury entered the courtroom.) THE CLERK: Court is now open. You may be seated. 2 THE COURT: So as it turns out we -- we could not work 3 through the problem we had on the Wednesday, so we will be 9:00 4 5 to 1:00, but a week from Thursday, if you could check with 6 everybody that you need to check with, and we'll -- I'll ask you tomorrow or later in the week if you can do it. Not this 7 Thursday, but a week from Thursday. 8 9 And while I'm thinking of it, while the lawyers are 10 chatting over there, I don't think there will be media coverage 11 of this trial, but that doesn't mean it won't happen. 12 Obviously, if you see an article or there's something on the 13 radio or TV, don't read it, listen to it, or watch it, okay? 14 (Plaintiff counsel conferred.) 15 MR. KOLMAN: Thank you. 16 BY MR. KOLMAN: 17 Dr. Rosen, I'm showing you a portion of an affidavit that you -- you signed, and I think we -- I asked you about the data 18 19 of that before we -- before we broke. 20 Α. Yes. 21 And, specifically, I want to bring your attention to these 22 three paragraphs, and if you don't mind that, I'll read it. 23 Subsequently, Dr. Rosen received a complaint from the 24 president of the medical staff from Marlborough Hospital,

Dr. Kim Robinson. Dr. Robinson expressed serious concerns that

- 1 had been raised by the Marlborough Hospital medical staff about
- 2 | the quality of Dr. Desai's work to the point where Dr. Robinson
- 3 | had trouble trusting the accuracy of complainant's reads on
- 4 CT scans.
- 5 Do you see that?
- 6 A. Yes.
- 7 Q. That complaint was never made in writing, was it?
- 8 A. The complaint was made at a meeting with Dr. Robinson and
- 9 several others at Marlborough Hospital, and then I confirmed
- 10 | the complaint in an email which summarized that meeting.
- 11 Q. We're going to look at that. That is the minutes of the
- 12 meeting --
- 13 A. Yes.
- 14 | Q. -- is that correct?
- 15 A. Yes, correct.
- 16 Q. Where Dr. Robinson states that she has serious concerns
- 17 | and has trouble trusting the accuracy of Dr. Desai's reads,
- 18 that statement is not -- there's nothing in writing from
- 19 Dr. Robinson --
- 20 A. Correct.
- 21 Q. -- stating that, correct?
- 22 A. You're correct, yes.
- 23 | Q. And as you previously testified, you didn't look at the
- reads to determine if they were accurate or not, correct?
- 25 A. Correct.

- 1 Q. Now, are you aware of at any time did Marlborough Hospital
- 2 not permit Dr. Desai to read CT scans?
- 3 Are you aware if they did what -- what UMass did?
- 4 A. I am aware that when I gave Dr. Desai her notice, I
- 5 curtailed her privileges to read CT scans.
- Q. Okay. But Marlborough Hospital -- let's be clear about
- 7 | the relationship between UMass and Marlborough.
- 8 Is it the case that radiologists from UMass actually
- 9 do radiology at Marlborough Hospital?
- 10 Is that the way it works?
- 11 A. Yes, it's a complicated, and if you'd allow me, I can
- 12 explain because --
- 13 Q. That's okay. And one of those radiologists was Dr. Desai,
- 14 | correct?
- 15 A. Correct.
- 16 Q. And Dr. Desai had a separate contract with Marlborough,
- 17 | correct?
- 18 A. No, that's not correct.
- 19 Q. Wasn't she an independent contractor?
- 20 A. No, Dr. Desai was an employee of UMass Memorial Medical
- 21 | Group, and UMass Memorial Medical Group had and has the
- 22 | contract to provide radiology services at Marlborough Hospital.
- 23 Q. Now, nonetheless, Marlborough Hospital had to credential
- 24 Dr. Desai, correct?
- 25 A. Correct.

- Q. And -- and can you tell us a little bit about what credentialing is, just briefly?
- 3 A. Sure. Credentialing is a hospital or institution's review
- 4 of a -- in this case a doctor, and saying this person is, you
- 5 know, okay, to do X, Y, Z tasks or procedures at our hospital.
- 6 Q. Thank you.

19

20

21

22

23

24

- Do you know if Dr. Robinson was on the credential committee of the hospital?
- 9 A. Dr. Robinson was at this point the president of the
  10 medical staff, but I do not know specifically whether she in
  11 that role was also on the credentials committee.
- Q. Are you aware that Marlborough Hospital never curtailed the ability of Dr. Desai to read CT scans?
- 14 Are you aware of that?
- 15 A. I'm aware that Dr. Desai was -- I saw one credentialing

  16 form where Dr. Desai was credentialed at Marlborough Hospital

  17 to read CTs.
  - Q. Okay. Thank you. So -- okay.
  - So at any point did you have a meeting with

    Marlborough Hospital to say that they were employing a

    doctor -- employing or they had a doctor, credentialed doctor,

    who was reading CT scans and UMass didn't allow it and

    Marlborough shouldn't allow it?
  - Was there any such conversation that you had with Marlborough for the sake of patient safety?

- A. I never had the conversation with Marlborough, but the way
  the work flow is set up, that would actually be a moot point,

  because Dr. Desai was always sitting at university hospital in
  our chest reading room, and all of the reads, all of the work
  that happens in radiology is aggregated onto the same work
  - So even if Dr. Desai was still credentialed to read

    CAT scans at Marlborough Hospital, if we had asked her or told

    her not to read CTs, then she would not be reading CTs for

    Marlborough Hospital.
    - Q. Is it your testimony then that -- that UMass is the conduit through which the CT scans go and, therefore, it would be impossible for Dr. Desai to read CT scans in any event, even if permitted by Marlborough?
    - A. It would not be impossible, because the CT scans show up, you know, on the work list that Dr. Desai had access to; but if we had asked Dr. Desai not to read CT scans, she should not be reading them.
    - Q. If -- if Marlborough has a separate credentialing for Dr. Desai in CT scans, why should Dr. Desai not be reading CT scans for Marlborough when she's credentialed to do it, and no one at Marlborough has said she can't?
    - A. The way our work lists are set up --
  - Q. It's why. Why should she not?

list.

25 THE COURT: Well, I think he's about to answer so --

```
1
               MR. KOLMAN: Okay.
               THE COURT: -- please -- please continue, Doctor.
 2
 3
               THE WITNESS: Thank you.
               So because the contract is with the UMass Memorial
 4
 5
      Medical Group, all of the work from all the hospitals,
 6
      Marlborough, Clinton, Health Alliance is all aggregated onto
      one work list; and while you can separate the work list
 7
      by -- by hospital, we in chest separated it by -- by modality.
 8
 9
               So there was a chest x-ray work list from chest x-rays
10
      from anywhere across the system and a CAT scan work list from
11
      CAT scans across the system.
12
               So in the year when Dr. Desai was still working, but I
13
      had asked her not to read chest CTs, she could, you know, have
14
      a full day's worth of work just reading off of the chest x-ray
15
      work list.
16
      BY MR. KOLMAN:
17
      Ο.
           Okay. Thank you.
18
               You're -- you are aware of peer review, correct?
19
      Α.
          Correct.
           And can you describe to the jury a little bit about what
20
21
      peer review is and perhaps why it exists?
22
           Sure. So a peer review is a system which was set up by
23
      the American College of Radiology, called the ACR, and it was a
24
      process which was in place for -- for several years, and the
25
      way it works is that -- well, so back up for a second.
```

So radiologists read, interpret images on something called a PACS system, which stands for picture archiving and communications system, and it's a setup of usually two high-resolution monitors in the middle and then a monitor on each side.

One monitor has the hospital's electronic medical record, or EMR, and the other has a voice recognition system, if you ever used Dragon or any, you know, voice recognition. And these systems all integrate together. They're all linked behind the scenes, and the way peer review works, it has two components to it.

The first component is every -- you can set the system so that every X number of cases that you read from today, when there's a prior study of the same -- the same study, it would automatically flag that and ask the person reviewing today to go back and look at the prior study and say whether they agreed or disagreed with that read.

And so the idea was that, you know, in the course of maybe reading a hundred scans or x-rays, maybe one or two of them, you would be asked to go back and -- and essentially reread the prior study.

And then you would enter -- and then you would enter data from that comparison into a database and rank or grade the prior study as either a one, which meant that you agreed with the prior read; two, there was a minor disagreement of no -- no

significance; three, you disagreed, and it could have been significant, maybe not; and then four, where there was a finding that was missed that should have been really made, you know, all the time.

And so over the course of a year, we -- we'd -- you know, or each year, there would be a database that would be developed where you could have, you know, for each radiologist, you know, a certain number of their cases would be peer reviewed, and you would get a printout or a read out on an Excel sheet of how many ones, twos, threes, fours that there were.

The other way of doing peer review, if somebody is made actively aware of a discrepancy. So, for example, if I was or Karin Dill is the division chief for chest radiology, if somebody comes to Dr. Dill and says, Could you review the scan on Mrs. Smith, I think there was -- I think something was missed. If Dr. Dill reviews that scan and finds that there is something missing she can then manually enter that into -- into the peer review system. So there are really two ways.

One is an automated, you know, X number of cases get double read; and then the other way is if somebody finds an abnormality or discrepancy, they can manually enter it into the system.

Q. Thank you.

And the purpose of peer review is to ensure that the

- 1 | doctor is -- is treating the patient at the requisite level?
- 2 A. Yes, it's -- so it's a very high level way of getting --
- 3 getting data on how people are performing.
- 4 Now, if somebody flags a study as disagreeing with the
- 5 reading, there's no who's right or who's wrong. It's just that
- 6 there is a disagreement. And our policy in the department was
- 7 for all the cases where there was a disagreement that the --
- 8 | the division chief or the chief quality officer in that
- 9 division would -- would look at those cases and then make a
- 10 determination if there was -- if indeed there was a mistake,
- 11 | and then if there was a mistake to then notify the person who
- read the study and/or put an addendum onto the report saying we
- found this discrepancy, and we want to provide this additional
- 14 | information.
- 15 | Q. And you didn't do that, did you, with Dr. Desai when
- Dr. Robinson indicated that doctor -- when Dr. Robinson
- 17 indicated that Dr. Desai's reads were unreliable?
- 18 A. Well, the only way you can do it is if you know of a
- 19 specific case.
- 20 Q. And you didn't know of a specific case because you never
- 21 | asked for it, correct?
- 22 | A. I always asked doctor -- anybody, including Dr. Robinson,
- 23 if she had specific cases to please let me know.
- Q. But in fairness, and we've heard about fairness from your
- 25 | counsel, how could you possibly know that Dr. Desai's readings

- 1 | were unreliable when you didn't have the actual CT scan, and
- 2 Dr. Robinson was a pulmonologist and not a radiologist?
- 3 A. Well, first of all, pulmonologists spend their time
- 4 studying and treating patients with lung disease. So
- 5 pulmonologists are actually quite sophisticated in their
- 6 knowledge of -- of chest imaging.
- 7 There were cases that Dr. Robinson contacted Dr. Dill
- 8 directly about and said, Could you please review this case on
- 9 Mrs. Smith. And when I was meeting with Dr. Robinson at this
- 10 | meeting, it was in the context of the general functioning of
- 11 | the radiology department at Marlborough Hospital.
- 12 Q. But Doctor -- Dr. Rosen, you -- you sprung a test on my
- 13 | client, which ended up with her being terminated, correct?
- 14 A. I conducted an independent review, which resulted in her
- 15 being terminated.
- 16 Q. And this so-called independent review, it -- you could
- 17 | have ordered a peer review of her cases that would have been a
- 18 | much broader study, correct?
- 19 A. Well, I think we're getting into --
- 20 | Q. My question is: You could have?
- 21 That's just my question: You could have ordered a
- 22 peer review?
- 23 A. Effectively what I did was a peer review. It was just
- 24 independent.
- 25 Q. You didn't do a peer review in accordance with the

- 1 regulations of UMass, correct?
- 2 A. No, that's not correct.
- 3 Q. Is it not correct that UMass has regulations for a peer
- 4 review?
- 5 A. We subscribe and -- at that point we're following the
- 6 American College of Radiology's peer review system, but that's
- 7 just one component of a comprehensive quality assurance
- 8 program. That's not the only component.
- 9 Q. But that component you didn't follow either?
- 10 A. Yes, I did. I'm not -- Dr. Desai participated in peer --
- 11 | in the peer review process. She should have been participating
- 12 | both as a reviewer and was participating -- or her studies were
- 13 included in -- in as a reviewee.
- 14 And another part of the credentialing process through
- 15 | the medical staff office is every -- every, I think, nine
- 16 months, I need to sign off on a -- on an OPPE, ongoing
- 17 | professional performance evaluation; and as part of that OPPE,
- 18 if I have concerns about a provider, I can then initiate a
- 19 | focused review; and the focused review that I initiated after
- 20 my concerns were -- were raised was hiring Dr. Litmanovich to
- 21 do the independent review.
- 22 | Q. Dr. Litmanovich actually now you raise her, she actually
- 23 | sent you information about how she had done it in another case;
- 24 do you remember that, in August of 2017, she sent you an email
- and said, Maybe this is of help. This is how I've done it in

1 another case.

1.3

2 Do you recall that?

- A. I don't -- I don't remember the details of that, but I do remember her saying that she had done another review, but I don't remember the details of that.
- Q. You don't recall getting the details from Dr. Litmanovich about how she had done another review in another case and gave it to you as a suggestion?

You don't recall that?

- A. I know that she gave -- that she said she had done another review, but I at this point do not remember the exact details of what she said.
- Q. Was there a discussion with you and Dr. Litmanovich in light of the fact that she had done another review that it may be she should do the same review for Dr. Desai?

Was there any discussion on that?

- A. I did discuss with Dr. Litmanovich how to perform the review, but as far as I remember, the actual -- the number of cases to pull and the criteria to say do you agree, disagree; if you disagree, is there a major or minor discrepancy; and do you feel that discrepancy had an impact on patient care, yes or no, I'm pretty sure that was the paradigm that I came up with.
- Q. I understand the paradigm that you came up with was the one used.

25 My question simply was whether there was discussion

- 1 with Ms. -- with Dr. Litmanovich about what she had done. That
- 2 | was my only question, and it was either yes, there was, no,
- 3 there wasn't, or I can't recall.
- 4 A. I -- I -- I know that I spoke to Dr. Litmanovich about how
- 5 to do the review, but I don't recall the details.
- 6 Q. Okay. I'm going to go to Exhibit 54, which is the first
- 7 MCAD, but only a small section of it, one that has been -- I'm
- 8 | sorry. We have just been over that.
- 9 I'm going to go to MCAD 2, which is another MCAD later
- 10 on. And we will be looking at -- one second.
- 11 (Plaintiff counsel conferred.)
- 12 | Q. We're going to be looking at page 1, 2, 3, just at the
- 13 | top. Just two paragraphs. And while you're looking for that,
- 14 | this MCAD was also signed under oath by you, correct?
- 15 A. I haven't seen it yet, but --
- 16 Q. Okay. Well, why don't you go to the signature page of
- 17 that MCAD and the other MCAD.
- Do you see that?
- 19 A. Yes, that's my signature.
- 20 Q. Okay. So does that refresh your recollection that you
- 21 | signed the content under oath?
- 22 A. Yes.
- 23 Q. Okay. Thank you.
- 24 And you signed both under oath, that's MCAD 1 and
- 25 | MCAD 2, and MCAD 2 -- but MCAD 1 was also signed under oath,

- 1 | correct?
- 2 A. Yes.
- 3 Q. Okay. Good. So let's just move on.
- 4 MR. KOLMAN: It's page 3 at the top.
- 5 That's it. That's it. Right there.
- 6 Q. Now, your testimony, I think, was, if I understood it,
- 7 that complaints had been fielded by Dr. Dill about Dr. Desai;
- 8 is that correct?
- 9 A. That's correct.
- 10 Q. And again, those complaints are nowhere in writing,
- 11 | correct?
- The actual complaint of the patient's CT scan?
- 13 A. I do -- I did not have them in writing. I don't know if
- 14 Dr. Dill had those in writing with her communication with
- 15 Dr. Robinson.
- 16 Q. Wouldn't it have been a fair thing to have actually got
- 17 | the CT scans yourself and given them to another radiologist of
- 18 yours, rather than jumping to this review that you put together
- 19 | that ended up with my client being terminated?
- 20 A. Well, Dr. Dill in her function as or her capacity as the
- 21 | section chief of chest radiology would be the person to review
- 22 | specific complaints from doctor -- from Dr. Robinson or anybody
- 23 | else in the -- in the normal course of -- of running the
- 24 section.
- 25 Q. Was it a complaint from Dr. Dill herself that -- that you

- 1 recall about the x-rays -- I'm sorry -- the CT scans she
- 2 herself had read and said there's a problem with Dr. Desai; is
- 3 | that what happened?
- 4 A. Yes, Dr. Dill voiced concern to me that she was being
- 5 | asked to review a lot of Dr. Desai's CT scan reads and that
- 6 she, you know, felt that many of the reads that she was
- 7 reviewing from that Dr. Desai had performed were not accurate.
- 8 Q. But Dr. Dill only reviewed a very few cases of Dr. Desai's
- 9 herself?
- 10 A. She would review any case where -- she would review cases
- 11 | in two ways: One, anything which, you know, randomly, you
- 12 know, appeared through the peer review system, but as the
- 13 | section chief she would also review, you know, cases if a
- 14 doctor, a provider, had a question about an interpretation.
- Q. So she would review Dr. Desai's cases and also the cases
- of other doctors, radiologists, correct?
- 17 A. Yes, anybody -- any of -- any -- if there was any question
- 18 | about a read of a chest CT, the person who would have -- it
- 19 | would have been referred to would have been Dr. Dill; and if
- 20 Dr. Dill was available, she would have done the review.
- 21 Q. I'm going to read the -- the first two paragraphs, okay.
- 22 Dr. Dill is an experienced radiologist and the division chief
- 23 for UMass Memorial cardiovascular and thoracic imaging and
- 24 director of 3D laboratory.
- 25 Given her experience and position as division chief of

cardiothoracic imaging at UMass Memorial, clinicians frequently ask Dr. Dill for a second opinion. In that context, Dr. Dill will also log those reviews into the QA system, consistent with the process described above.

Moreover, like other radiologists, she reviews comparison studies of reads as part of her normal work and is assigned cases randomly as part of the QA process. She logs information from those reads into the QA system.

I believe you testified to that, correct?

- A. Yes, and that's at --
- Q. And the next paragraph, between July 1 and 2016 through June 30, 2017, Dr. Dill entered reviews for approximately 87 cases into the QA system. Out of those, only 11 of -- only 11 of those cases were cases that Dr. Desai had initially interpreted.

Accordingly, Dr. Dill's reviews were anything but a targeted review of Dr. Desai's work. Her entries in the Q -- the QA system were made in the ordinary course of fulfilling her duties as a radiologist and division chief at UMass Memorial.

Do you see that?

A. Yes.

Q. So is it your understanding that from July 1, 2016, through June 30, 2018, Dr. Dill only reviewed 11 of the cases done by Dr. Desai?

- 1 Is that your -- your understanding?
- 2 A. My understanding --
- 3 Q. From this?
- 4 A. From this is that -- that 11 of the 87 cases that Dr. Dill
- 5 had reviewed in the peer review system were cases of
- 6 Dr. Desai's.
- 7 Q. Right.
- 8 A. But -- but I don't know if there are other cases, and I
- 9 assume that there were, but that where Dr. Dill reviewed a case
- 10 of Dr. Desai's but might not have entered it into the database.
- 11 Q. But there's a lot we don't know.
- 12 Eleven cases in a year were reviewed by Dr. Dill, and
- 13 | not all of those cases at all by far were in any way defective,
- 14 | correct?
- 15 A. I would have to see the data behind this to know what the
- 16 defect rate was.
- 17 Q. How could you possibly springboard in January of 2017 any
- 18 | kind of independent review whether you created it or not, based
- on Dr. Dill's review of the very few cases done by Dr. Desai?
- 20 A. My impetus for performing independent review was based on
- 21 | several factors. One of those factors were Dr. Robinson's
- 22 | complaints. Another factor was clinicians in the hospital
- 23 | going to Dr. Dill and saying, Can you please reread this case.
- Q. But those clinicians are not set forth, are they?
- Those doctors are not written done anywhere, are they?

A. No, they're not.

- 2 Q. And so it's impossible for anyone to go back and find out
- 3 what the complaint is, including Dr. Desai; isn't that right?
  - A. There is -- you are correct, there is --
- 5 Q. So my question --

THE COURT: Wait. Wait.

7 MR. KOLMAN: I'm sorry.

THE COURT: Please let the witness finish the answer.

THE WITNESS: There is no written records. In the course of a busy clinical day, you know, we -- we don't document every, every, every conversation, but I relied on Dr. Dill, and I think she'll be here to testify, that there were several and frequent complaints about that she received about Dr. Desai's reads; and based on that and also Dr. Robinson and, I think, in June of '17, when I had my annual

meeting with Dr. Desai, I gave her all of the threes and fours that had been flagged in the database.

And I don't remember the exact number, but the -- the number of threes and fours that she had was much higher, if I remember correctly, than what I was giving to other radiologists during their annual review. So the combination of all of those factors is what prompted me to do the independent review.

Q. Did you try and --

THE COURT: We're going to --

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1
               MR. KOLMAN: I'm sorry, your Honor.
 2
               THE COURT: We're going to break for the day here.
 3
      We're going to break for the day.
 4
               MR. KOLMAN: Oh, okay. Okay.
               THE COURT: So, ladies and gentlemen, I apologize. I
 5
      have a one o'clock video hearing I've got to attend to, and I
 6
 7
      promise this will be the only time. So we'll see you back here
 8
      tomorrow morning ready to rumble at nine o'clock.
 9
               Please don't discuss the case with anyone, including
10
      each other, and root for the Celtics.
11
               See you tomorrow.
12
               THE CLERK: All rise.
13
               (At 12:52 p.m., court adjourned.)
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## <u>C E R T I F I C A T E</u> I, Marianne Kusa-Ryll, RDR, CRR, do hereby certify that the foregoing transcript is a true and accurate excerpt of the transcription of my stenographic notes before the Honorable Timothy S. Hillman, to the best of my skill, knowledge, and ability. /s/ Marianne Kusa-Ryll 12-07-22 Marianne Kusa-Ryll, RDR, CRR Date Official Court Reporter